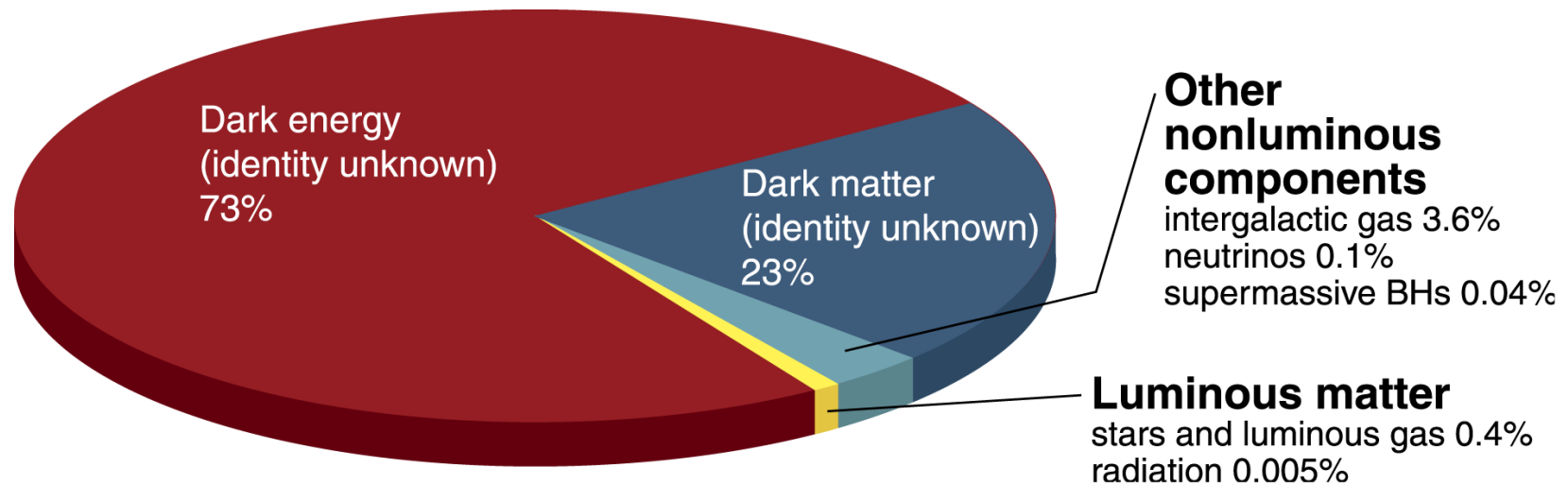


# Neutrino mass, lepton number, and the origin of matter

1. Major scientific discoveries since 2007.
2. Compelling and unique science to be done in the next 5 years and through 2020.
3. Strategic planning issues:  
SURF, Double Beta Decay, Connection to HEP, Community "voice".

# The Universe -- A very odd place



And why is there **matter** but no **antimatter**?

Sakharov's criteria:

*Baryon number not conserved...*

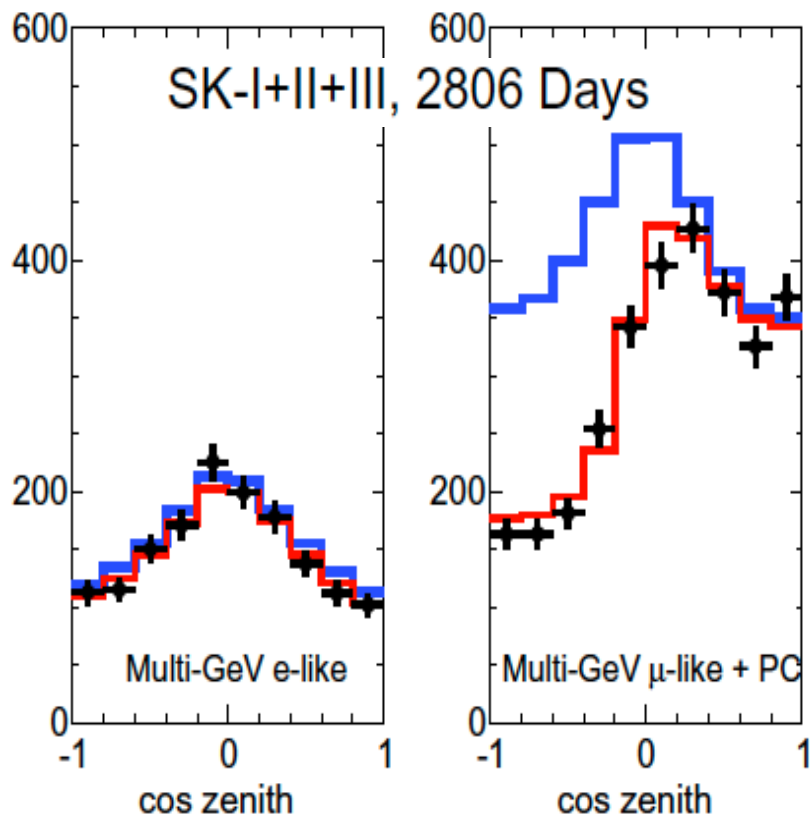
*CP violated...*

*Universe not in equilibrium at some point...*

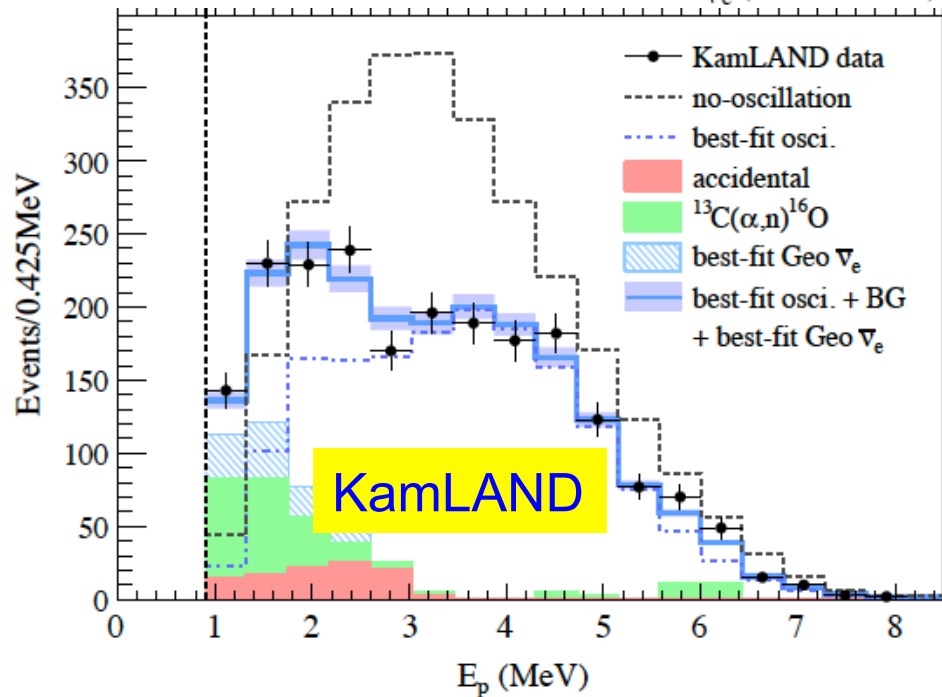
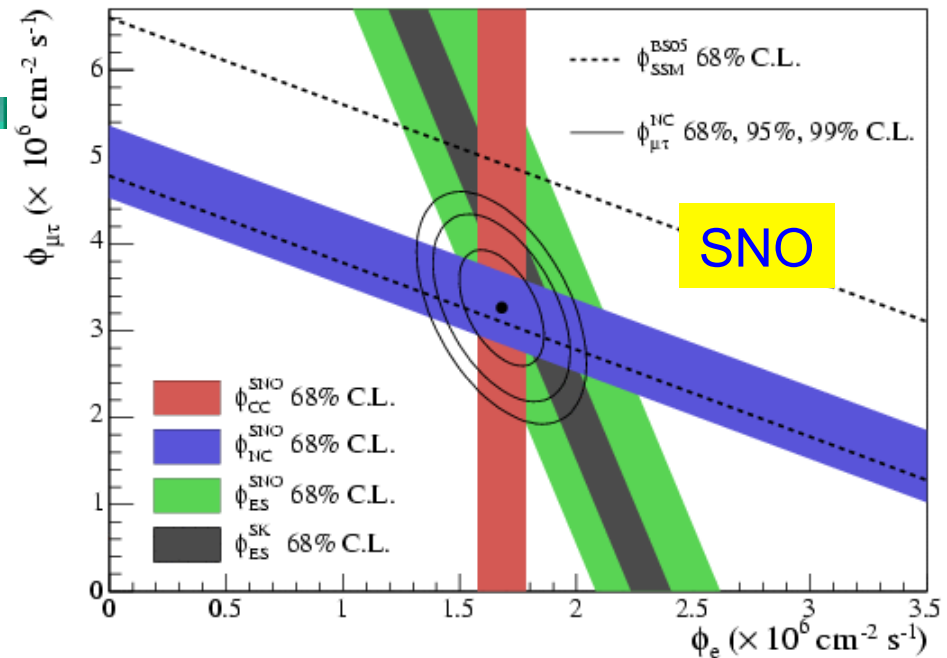
# Major scientific discoveries since 2007

- $\theta_{13}$  measured!
- Higgs found!
- Borexino, SNO, SK, KamLAND results
- MiniBooNE results
- Nuclear theory of DBD
- EXO and KamLAND results for  $^{136}\text{Xe}$   $2\nu\beta\beta$ ,  $0\nu\beta\beta$
- Idea to use cyclotron radiation for neutrino mass measurement

Neutrinos oscillate,  
have mass



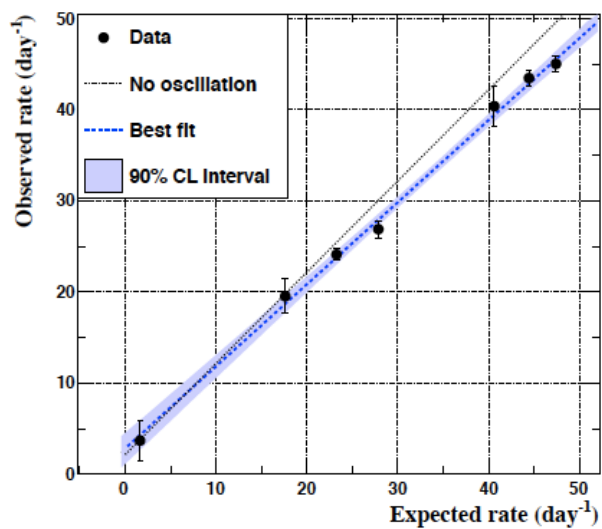
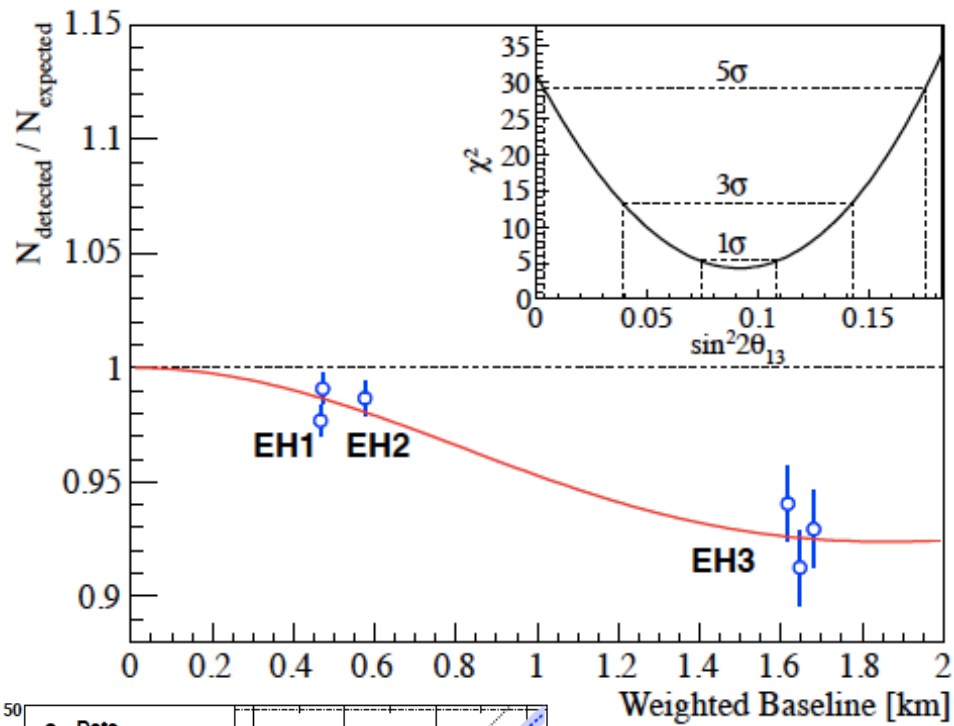
Super-Kamiokande



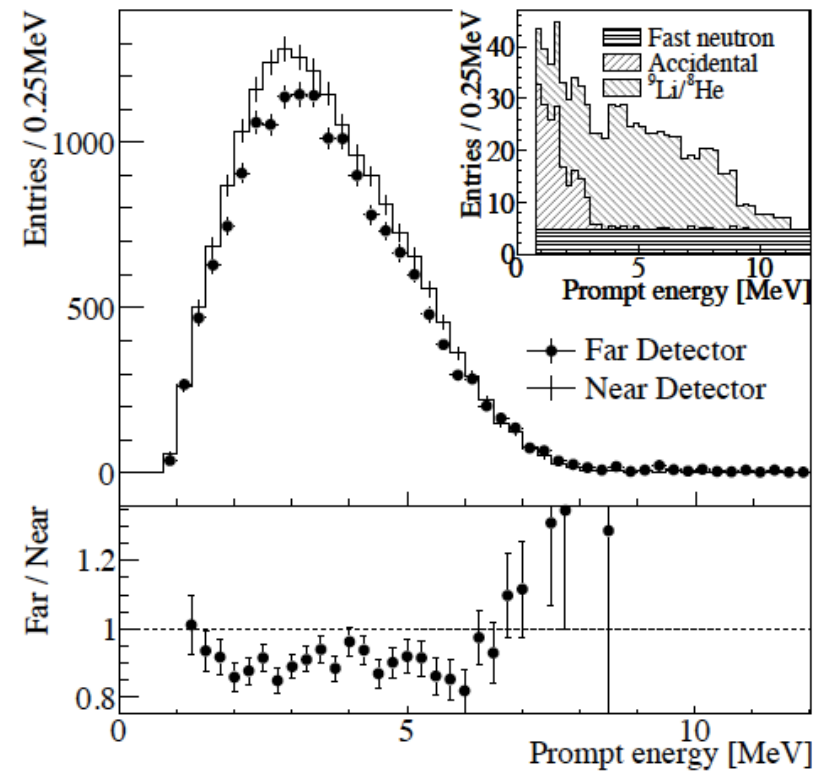


# $\Theta_{13}$ Measured!

Daya Bay



Double  
Chooz



RENO

# Mass and mixing parameters

Oscillation

Kinematic

$\Delta m_{21}^2$	$7.54^{+0.21}_{-0.21} \times 10^{-5} \text{ eV}^2$	
$ \Delta m_{32}^2 $	$2.42^{+0.12}_{-0.11} \times 10^{-3} \text{ eV}^2$	
$\Sigma m_i$	$> 0.055 \text{ eV (90\% CL)}$	$< 5.4 \text{ eV (95\% CL)}^*$
$\theta_{12}$	$34.1^{+0.9}_{-0.9} \text{ deg}$	
$\theta_{23}$	$39.2^{+1.8}_{-1.8} \text{ deg}$	
$\theta_{13}$	$9.1^{+0.6}_{-0.7} \text{ deg}$	
$\sin^2 \theta_{13}$	$0.025^{+0.003}_{-0.003}$	

Marginalized 1-D 1- $\sigma$  uncertainties.

\*C. Kraus et al., Eur. Phys. J. C40, 447 (2005); V. Aseev et al. PRD in press.  
Other refs, see Fogli et al. 1205.5254

# What do we still want to know?

Are neutrinos their own antiparticles?

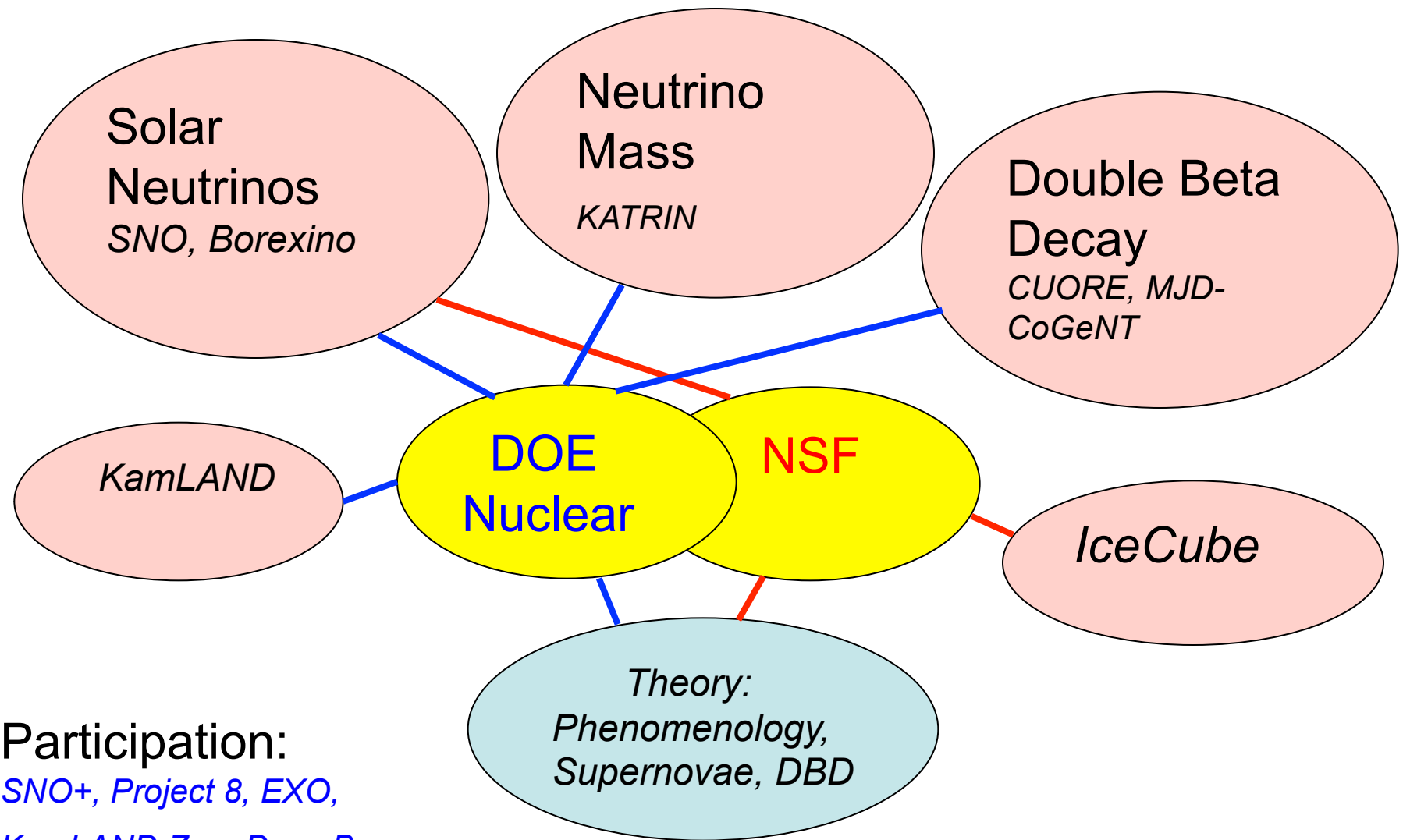
Do neutrinos violate CP?

What is the mass?

What is the level ordering (hierarchy)?

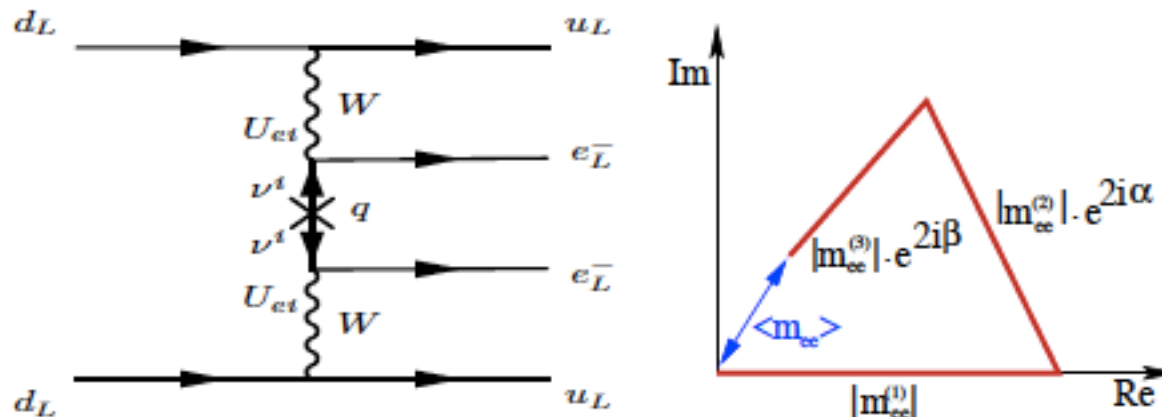
And many other things...

# The Neutrino Portfolio in DOE-NP and NSF



# Neutrinoless Double Beta Decay

Are neutrinos their own antiparticles?  
Is lepton number conserved?

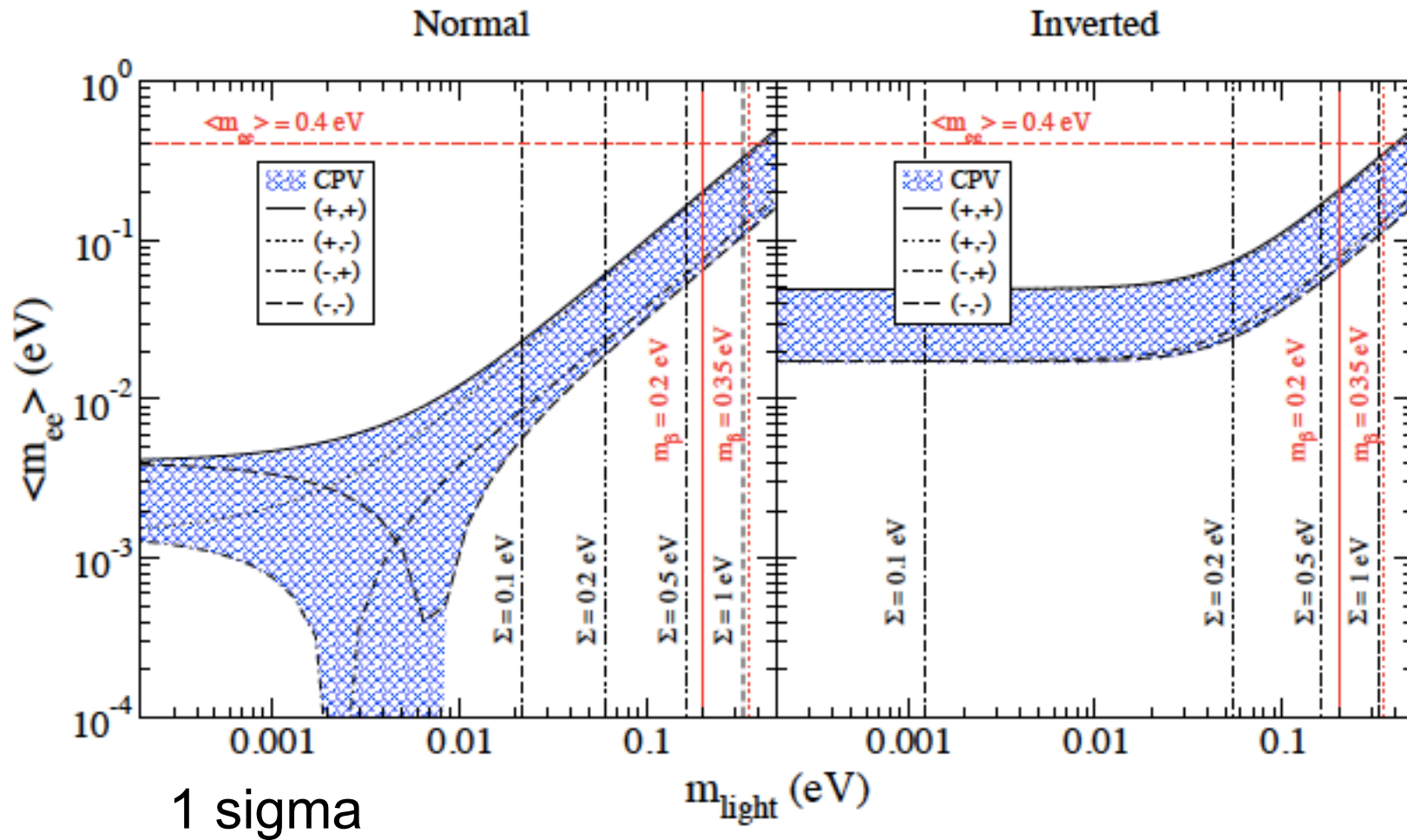


W. Rodejohann, 1206.2560

Decay  
rate per  
unit mass:

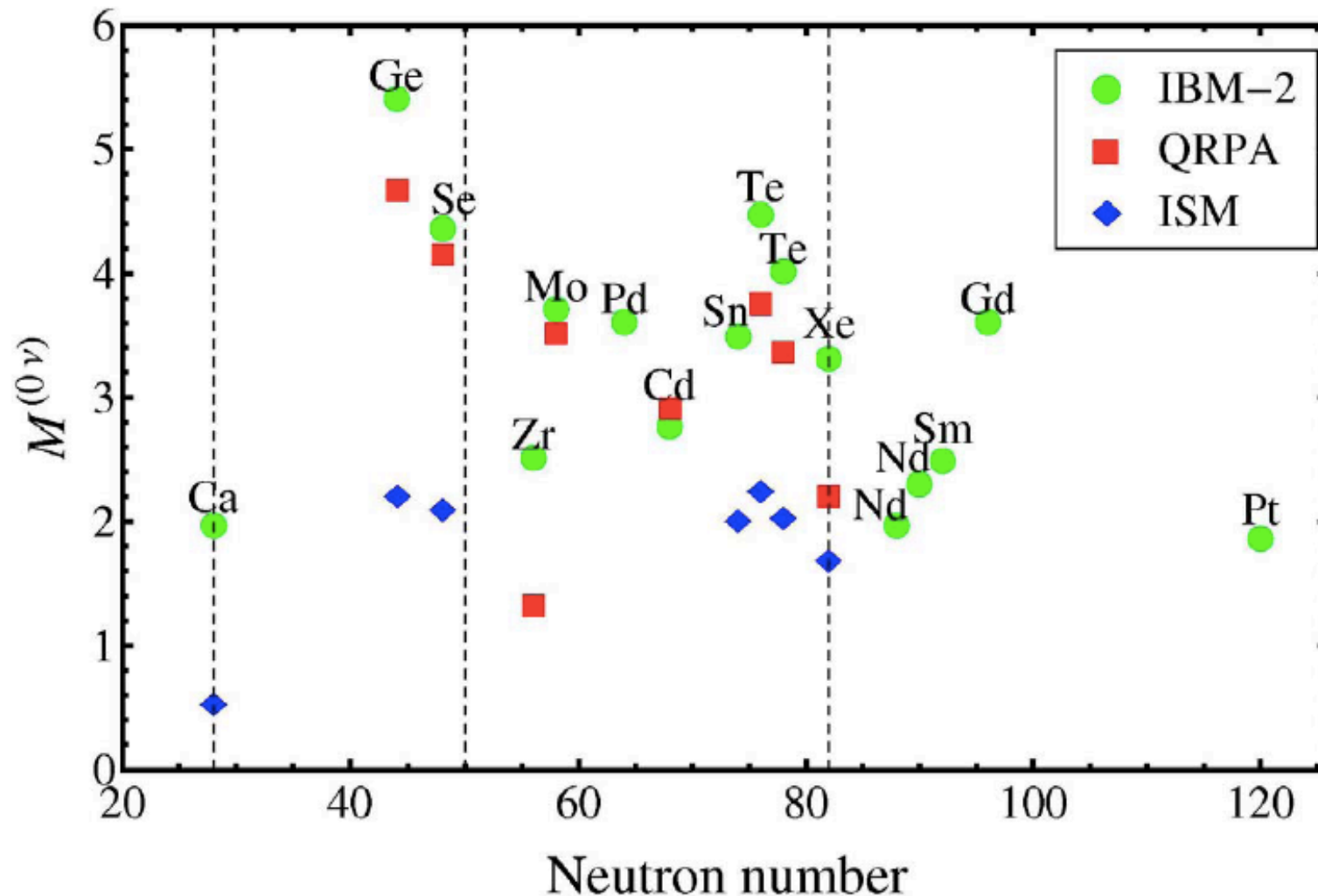
$$\begin{aligned} \lambda_{0\nu} \frac{N}{M} &= \frac{\ln(2) N_A}{A m_e^2} G_{0\nu}^{(0)} g_A^4 |M_{0\nu}|^2 |\langle m_{ee} \rangle|^2 \\ &\equiv \Gamma_{0\nu} |M_{0\nu}|^2 |\langle m_{ee} \rangle|^2 \\ \langle m_{ee} \rangle &= |U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i\alpha} + U_{e3}^2 m_3 e^{i\beta}| \end{aligned}$$

# Neutrinoless Double Beta Decay



# IBM-2 RESULTS (JAN 2012) LIGHT NEUTRINO EXCHANGE

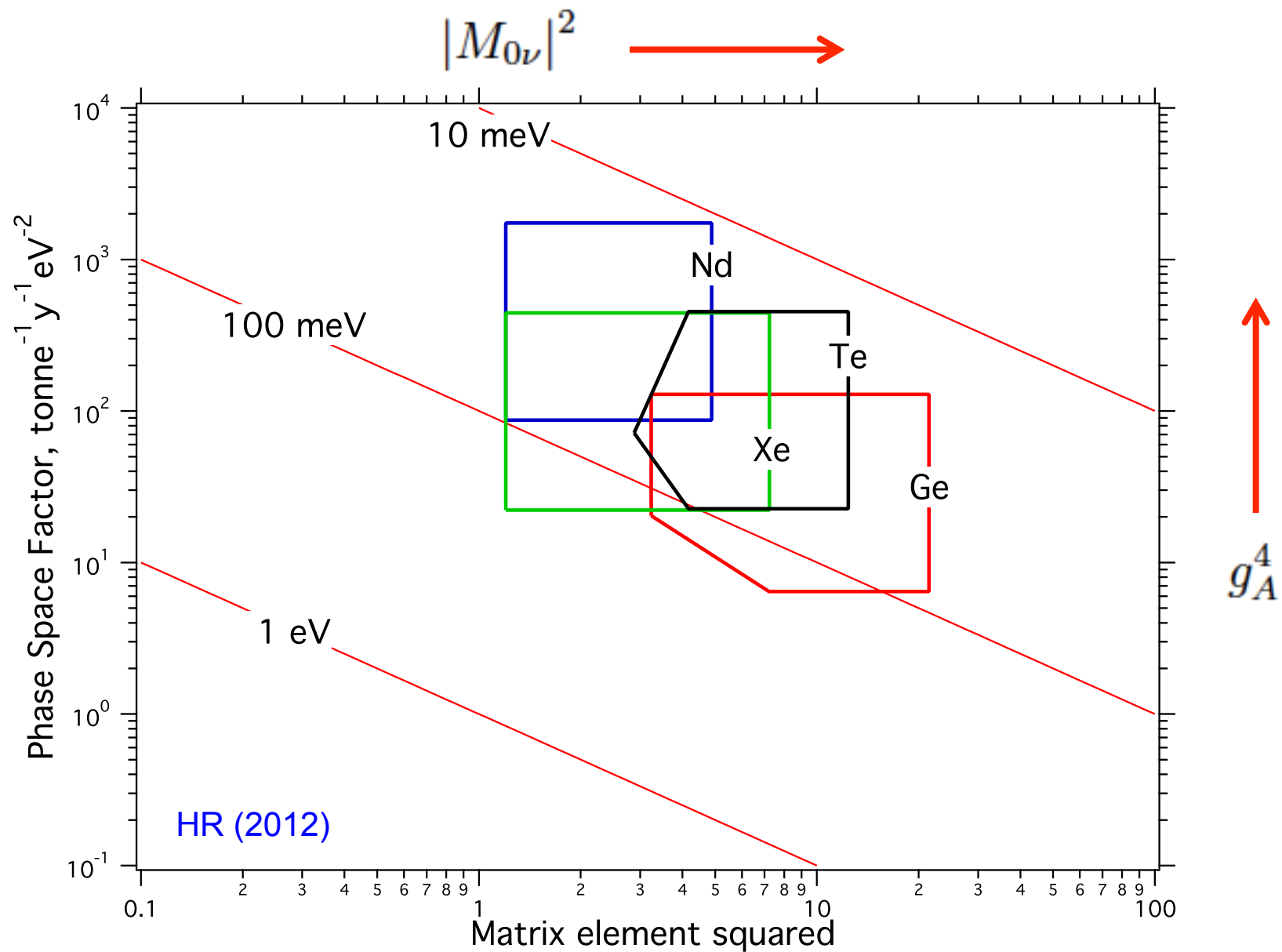
F. Iachello



IBM-2 from J. Barea and F. Iachello, Phys. Rev. C 79, 044301 (2009) and to be published.

QRPA from F. Šimkovic *et al.*, Phys. Rev. C 77, 045503 (2008).

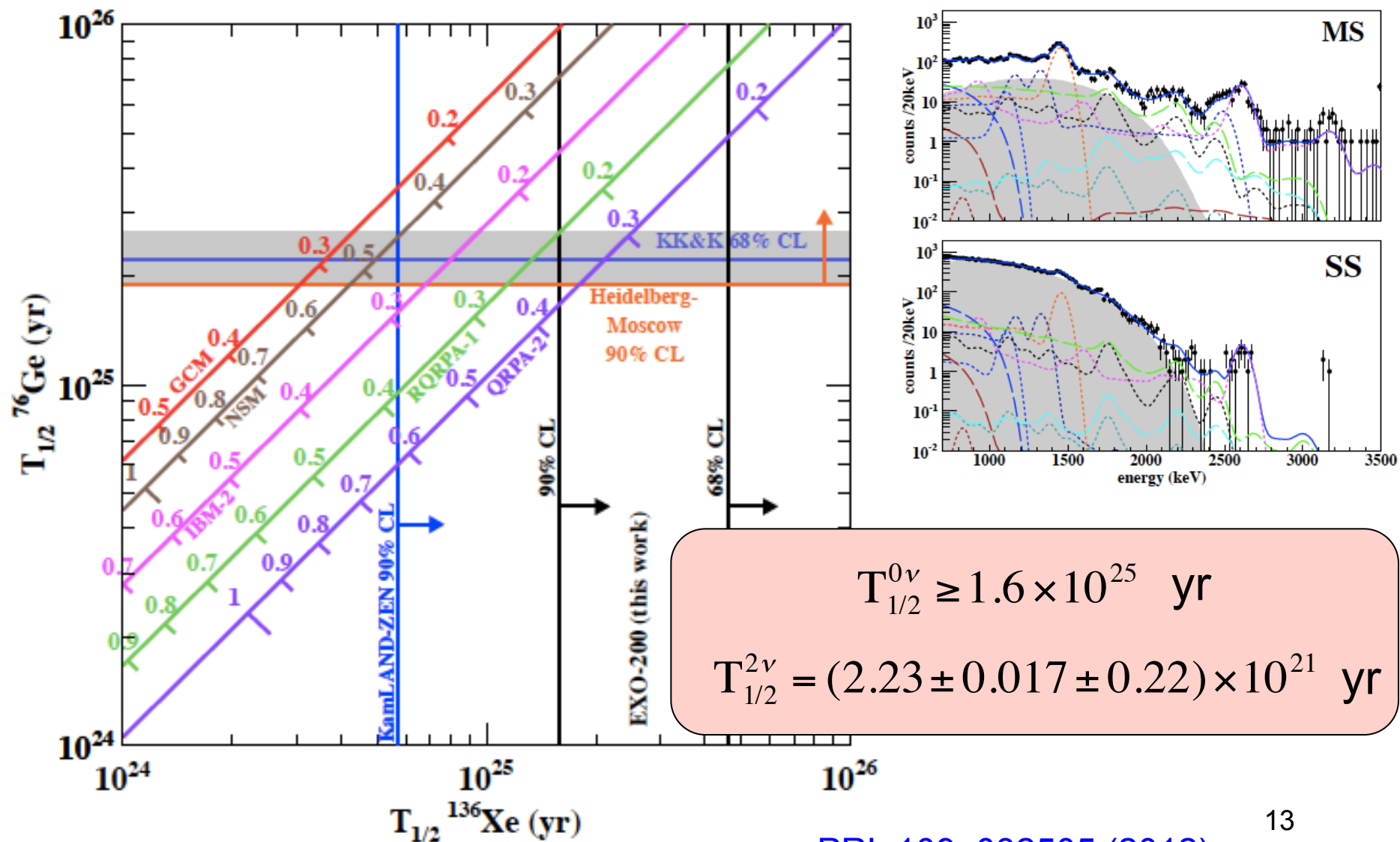
ISM from E. Caurier *et al.*, Phys. Rev. Lett. 100, 052503 (2008).



Regions contain calculated matrix elements (SM, QRPA, IBM, GCM) and range of  $g_A$  values (free nucleon down to  $2\nu\beta\beta$  fits).



# EXO measures $^{136}\text{Xe}$ $2\nu\beta\beta$ , limits $0\nu\beta\beta$



PRL 109, 032505 (2012)

# Double Beta Decay: some milestones

*CUORE:*



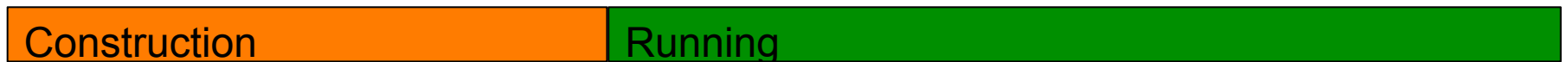
*EXO-200:*



*KamLAND-Zen*



*NeXT:*



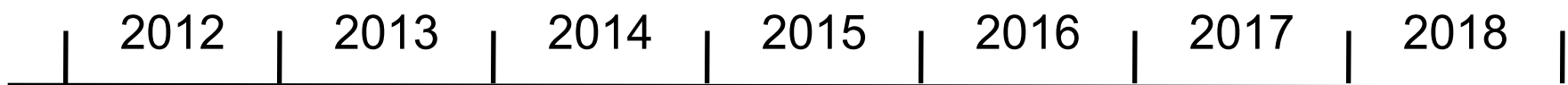
*GERDA:*



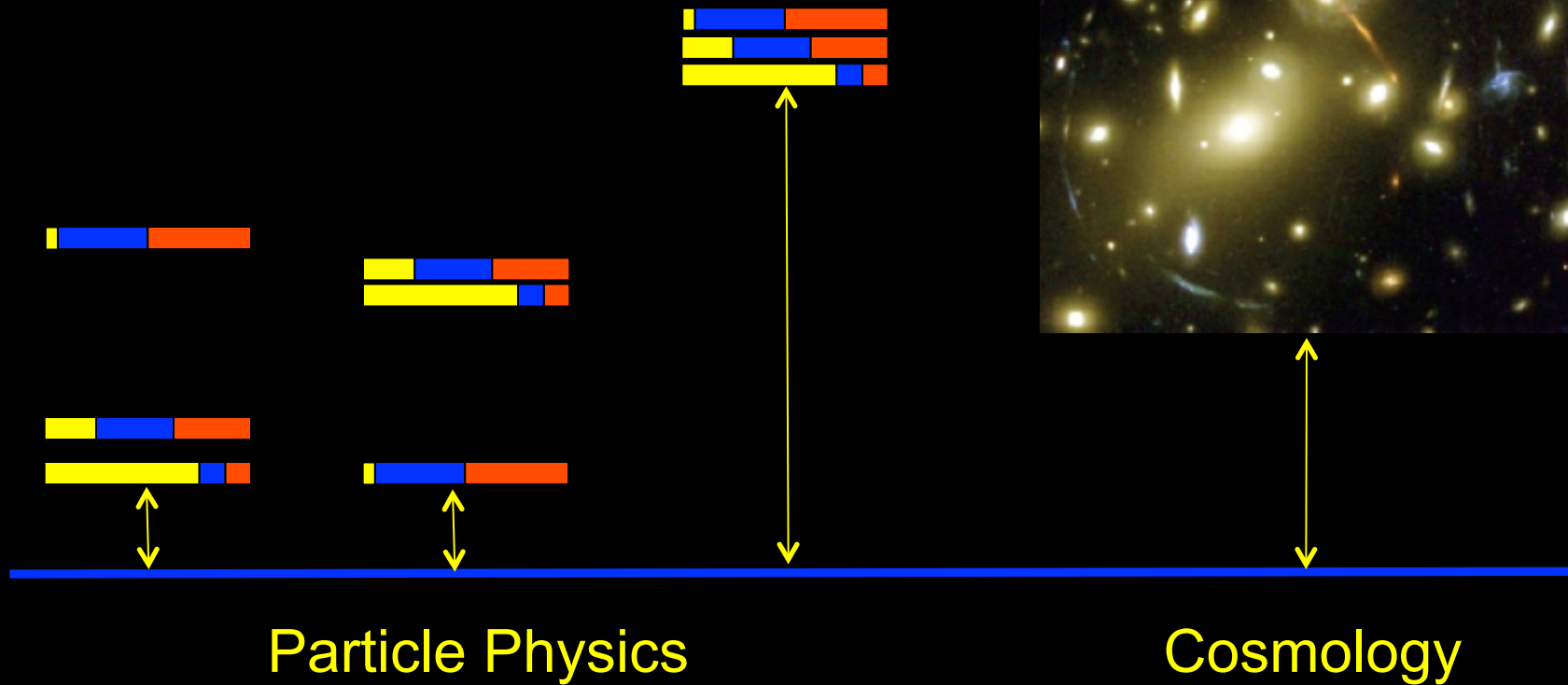
*Majorana:*



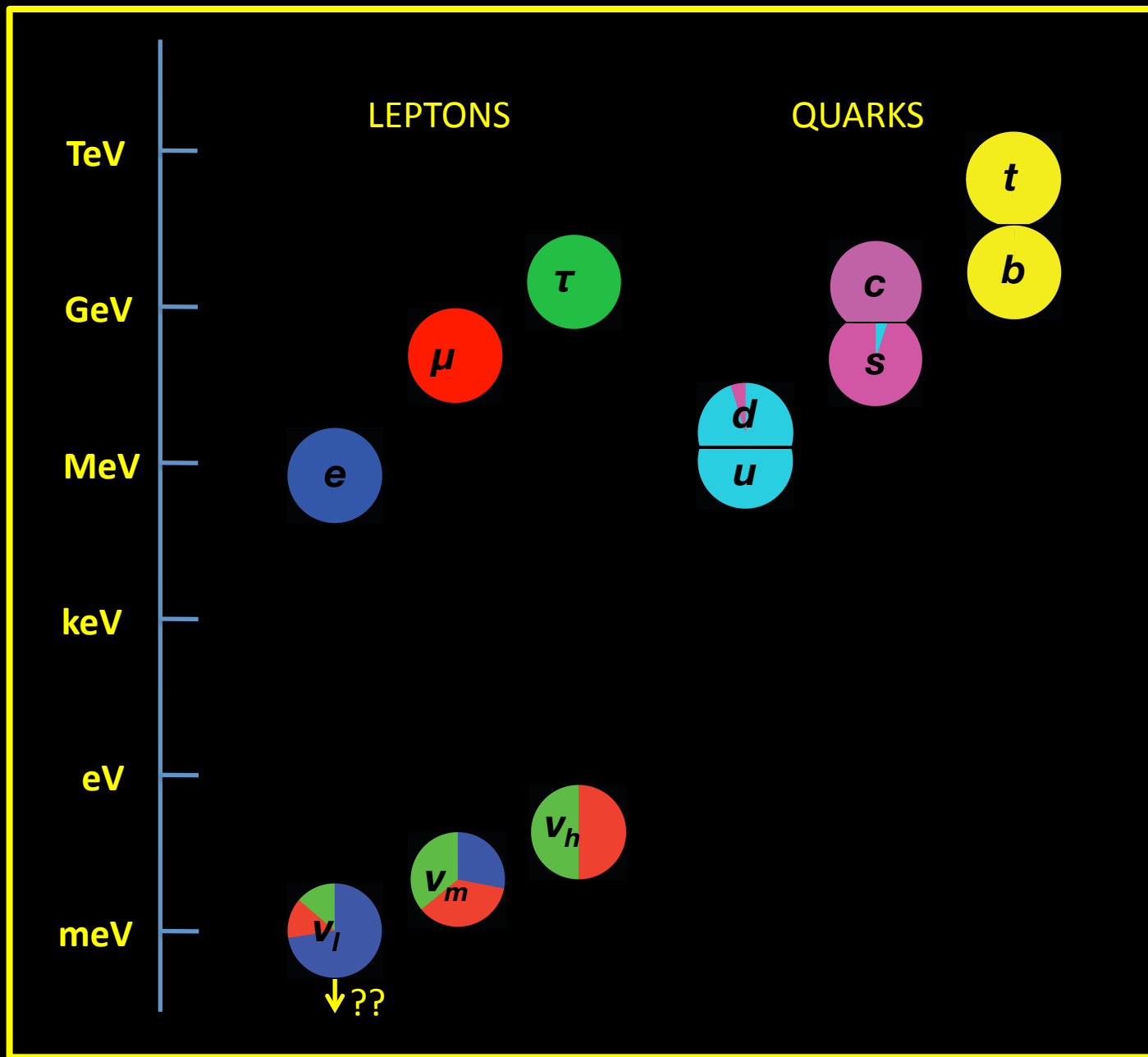
*SNO+*



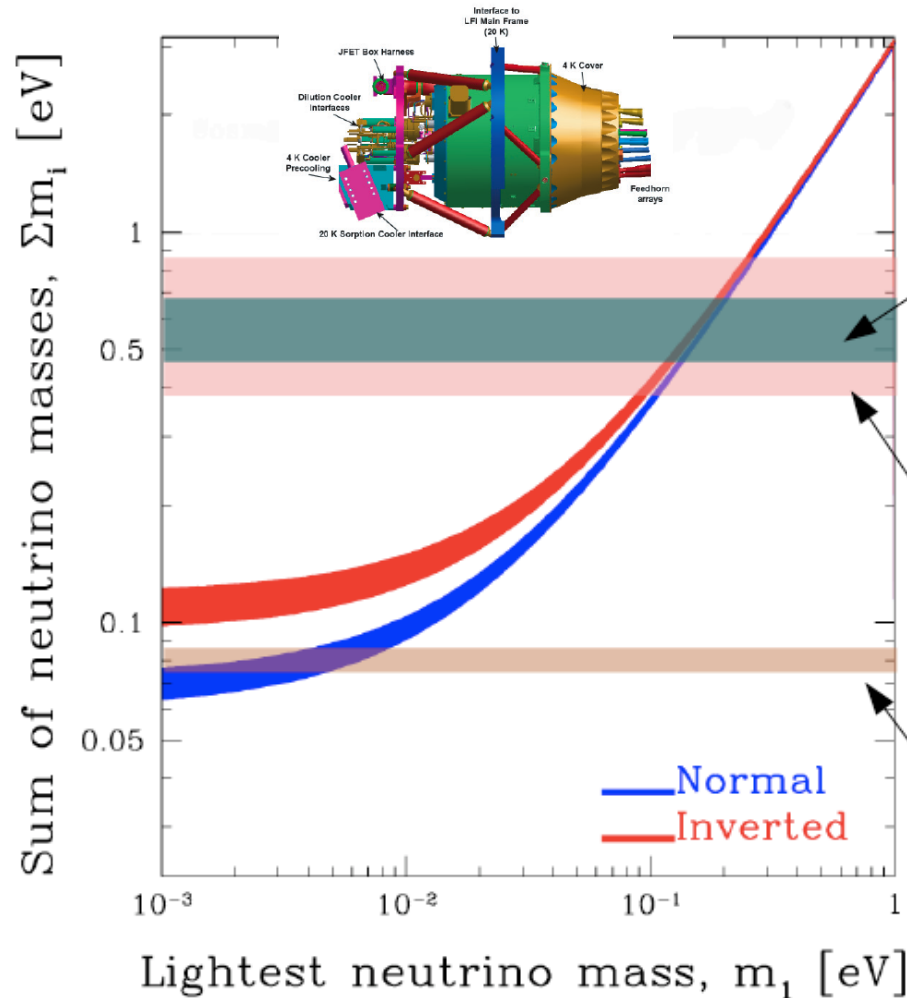
# Neutrino Mass Measurements



What is the neutrino mass scale?



# Present constraints and future sensitivities...



CMB (WMAP7+ACBAR+BICEP+QuaD)  
+ LSS (SDSS-HPS)  
+ HST+SNla

$$\sum m_\nu < 0.44 \rightarrow 0.76 \text{ eV (95\% CI)}$$

depending on the model complexity

Hannestad, Mirizzi, Raffelt & Y<sup>3</sup>W 2010

Gonzalez-Garcia et al. 2010, etc.

Planck alone (1 year)

$$\sum m_\nu < 0.38 \rightarrow 0.84 \text{ eV (95\% CI)}$$

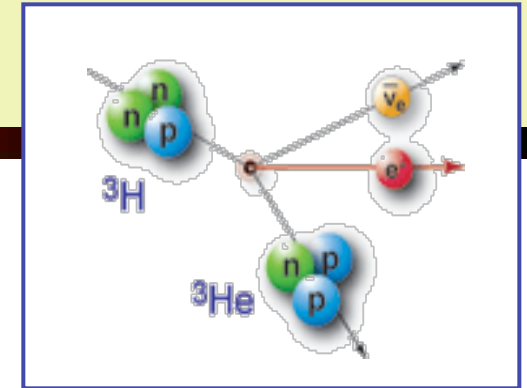
Perotto et al. 2006

Planck+Weak lensing (LSST)

$$\sum m_\nu < 0.074 \rightarrow 0.086 \text{ eV (95\% CI)}$$

Hannestad, Tu & Y<sup>3</sup>W 2006

# Neutrino mass from Beta Spectra



With flavor mixing:

$$\frac{dN}{dT} = \frac{G_F \cos \theta_C}{2\pi^3} |M_{\text{nuc}}|^2 F(Z, T) (T + m) (T^2 + 2mT)^{1/2} (T_0 - T) \sum_i |U_{ei}|^2 [(T_0 - T)^2 - m_i^2]^{1/2}$$

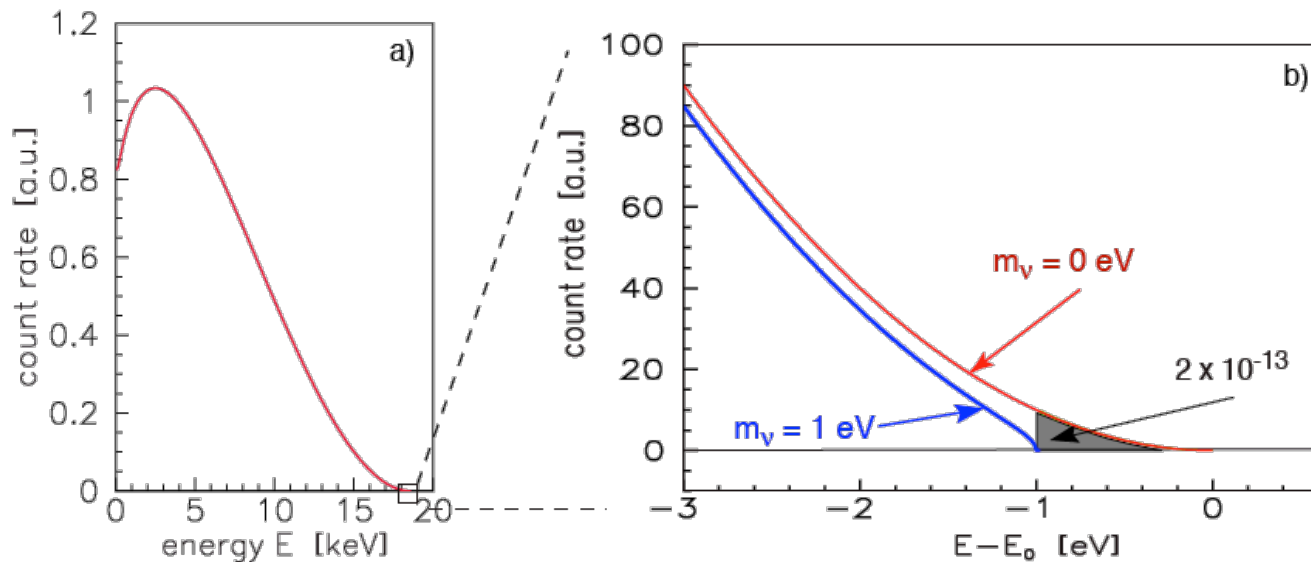
$$m_i^2 = \Delta m_{i0}^2 + m_0^2$$

from oscillations

mass scale

mixing

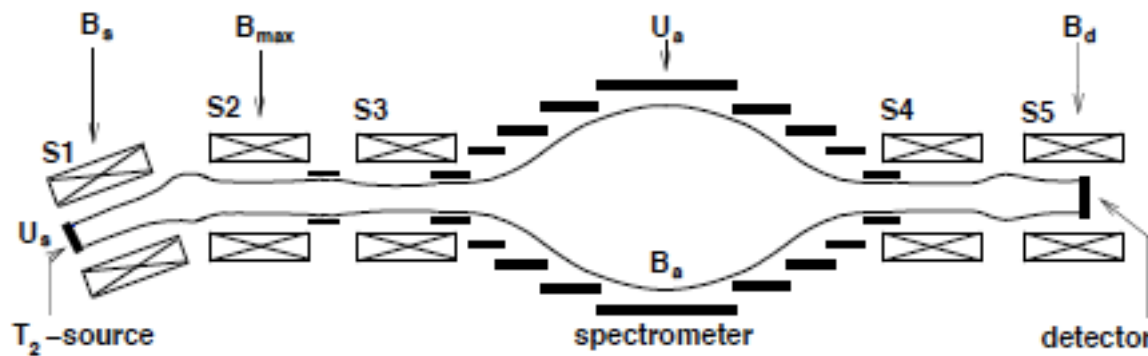
neutrino masses



# Current status of direct mass measurement

**Mainz:** solid  $T_2$ , MAC-E filter

C. Kraus et al., Eur. Phys. J. C40, 447 (2005)

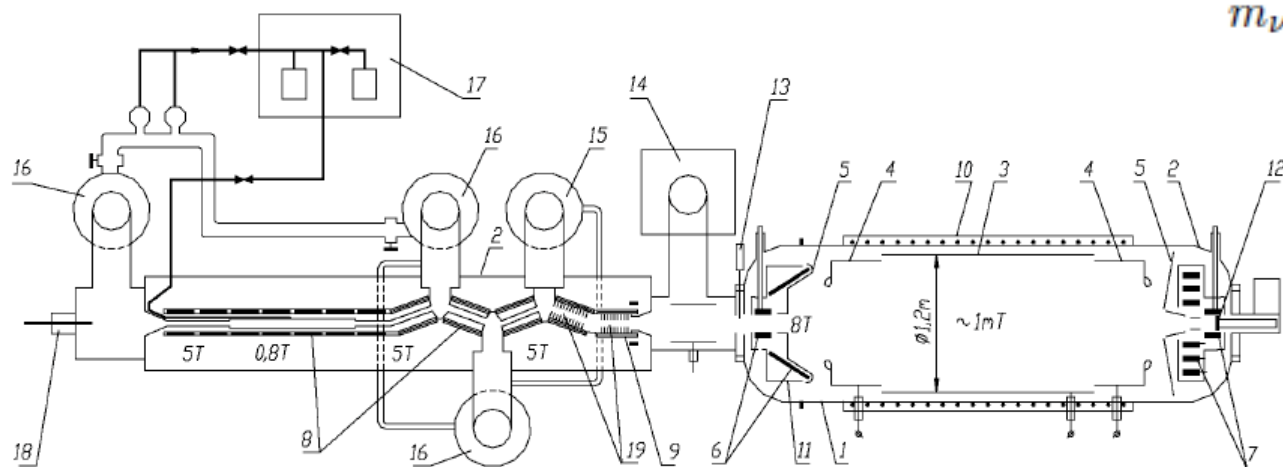


$$m^2(\nu_e) = (-0.6 \pm 2.2_{\text{stat}} \pm 2.1_{\text{syst}})$$

$$m(\nu_e) < 2.3 \text{ eV}/c^2 \quad (95\% \text{ C.L.})$$

**Troitsk:** gaseous  $T_2$ , MAC-E filter

V. Aseev et al., PRD in press (2011)



$$m_\nu^2 = -0.67 \pm 1.89_{\text{stat}} \pm 1.68_{\text{syst}}$$

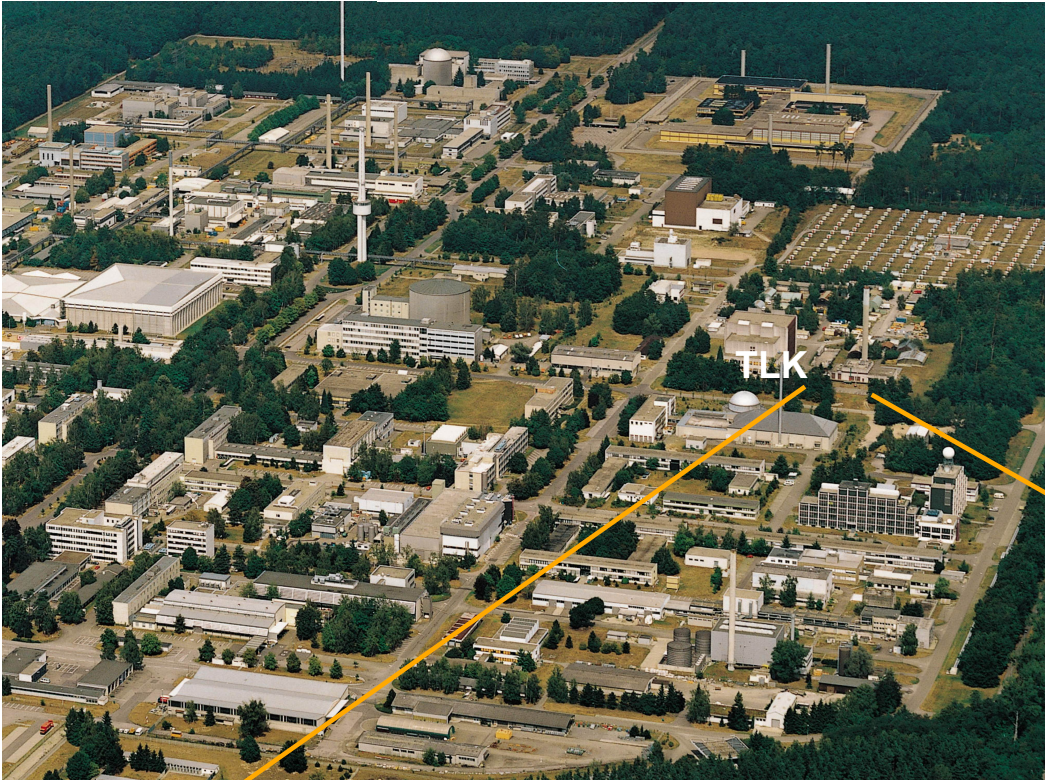
$$m_\nu < 2.05 \text{ eV}, 95\% \text{ C.L.}$$

Together: ...  
 $m_\nu < 1.8 \text{ eV}$   
 (95% CL)



# KATRIN

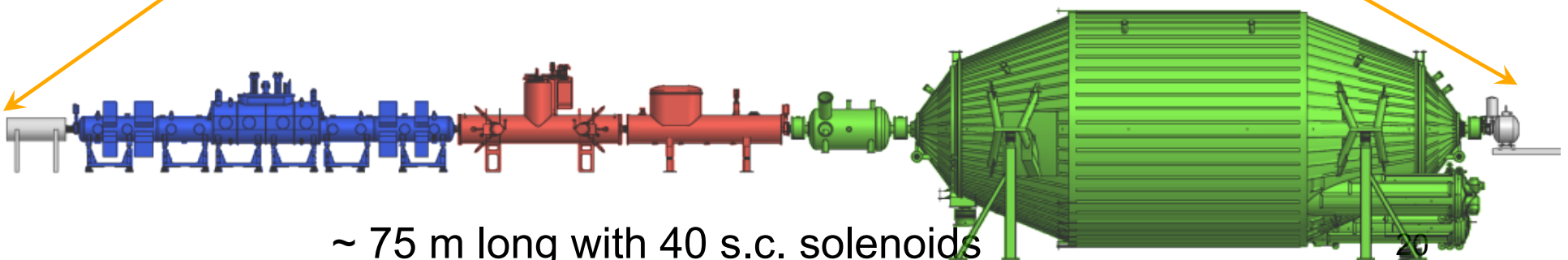
At **Karlsruhe Institute of Technology**  
unique facility for closed  $T_2$  cycle:  
Tritium Laboratory Karlsruhe



Size of experiment now:  
Diameter 10 m.

$$\sigma(m_\nu^2) = k \frac{b^{1/6}}{r^{2/3} t^{1/2}},$$

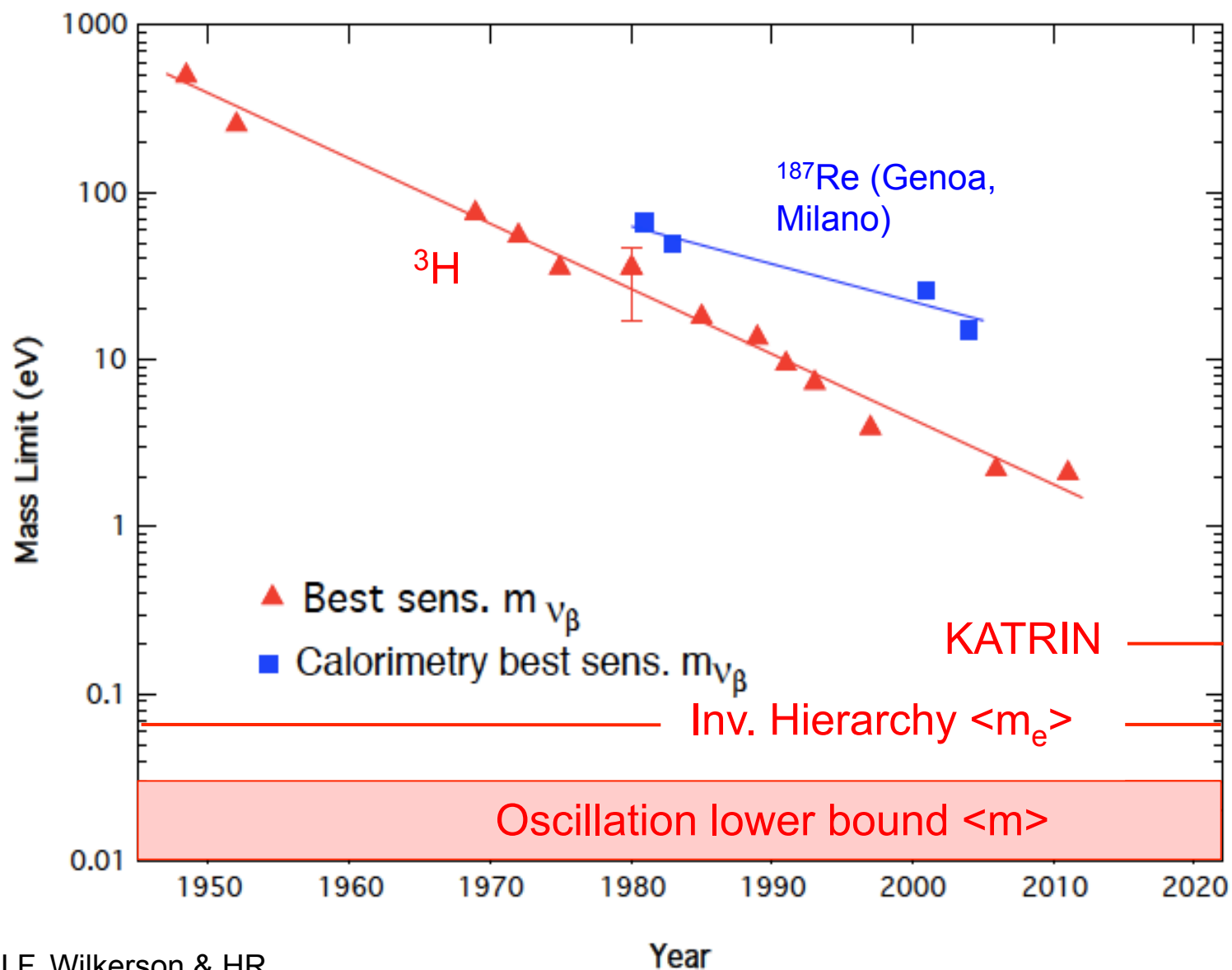
Next diameter: 300 m!



~ 75 m long with 40 s.c. solenoids



# Neutrino Mass Limits from $\beta$ decay



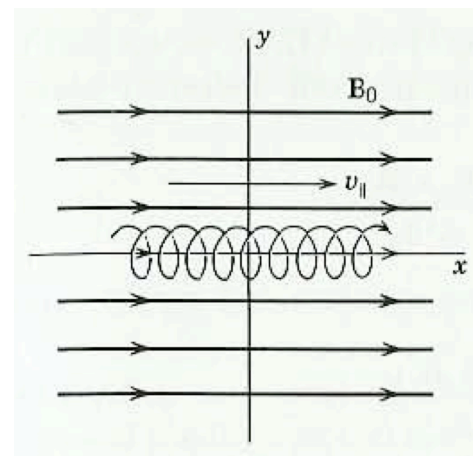
# PROJECT 8

## Cyclotron radiation from tritium beta decay

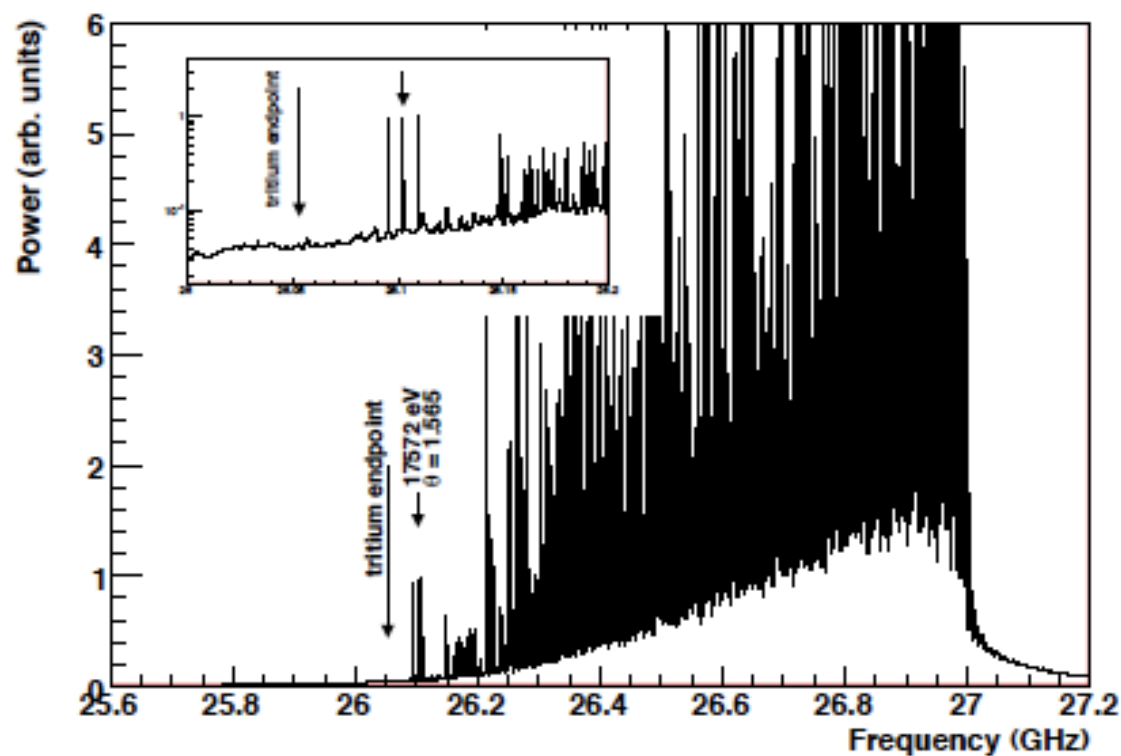
(B. Monreal and J. Formaggio, PRD 80:051301, 2009)

$$\omega = \frac{qB}{\gamma m} \equiv \frac{\omega_c}{\gamma}$$

$$\omega_c = 1.758820150(44) \times 10^{11} \text{ rad/s/T}$$

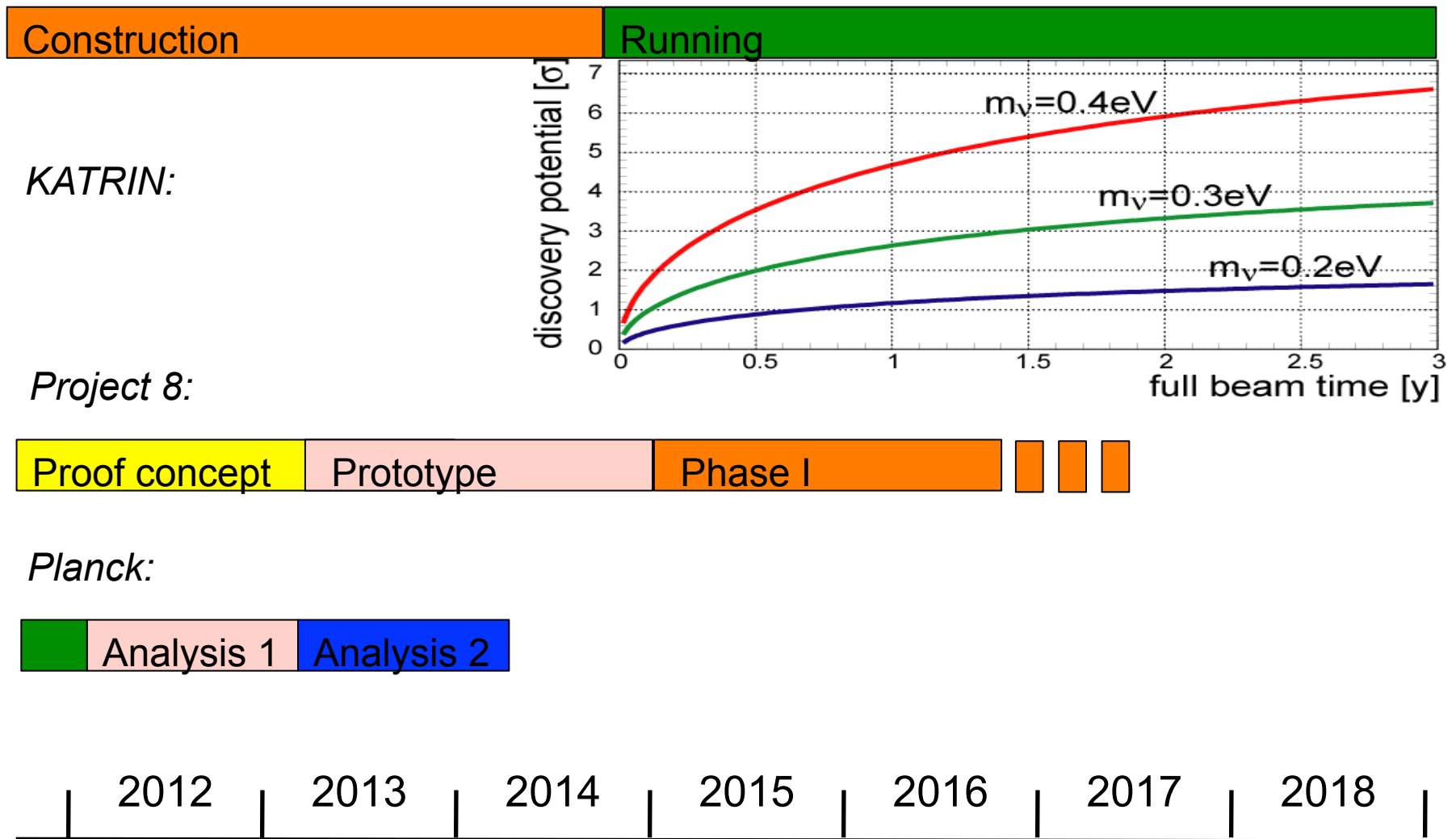


Radiated power  $\sim 1$  fW



Parameter	Value	Unit
Electron energy	18.6	keV
$\beta$	0.2627	
$\gamma$	1.0364	
Field	1	T
$\omega_c$	27.009	GHz

# Neutrino mass: some milestones



# Who needs an underground lab?

We do.

The US has been attempting to build a dedicated, deep underground lab since 1975. We did not get that (complicated history), but the science still needs to be done. SURF at Homestake is a new asset for us, a unique home for important parts of that science. It has attracted \$70M in private funding, an extraordinary departure for nuclear physics.

Majorana (and LUX) are sited there, and smaller experiments. It's deeper than most national u/g labs, although probably not deep enough for the tonne-scale DBD expts. It's still very much a world-class facility, and, at last, we have "a bird in the hand".

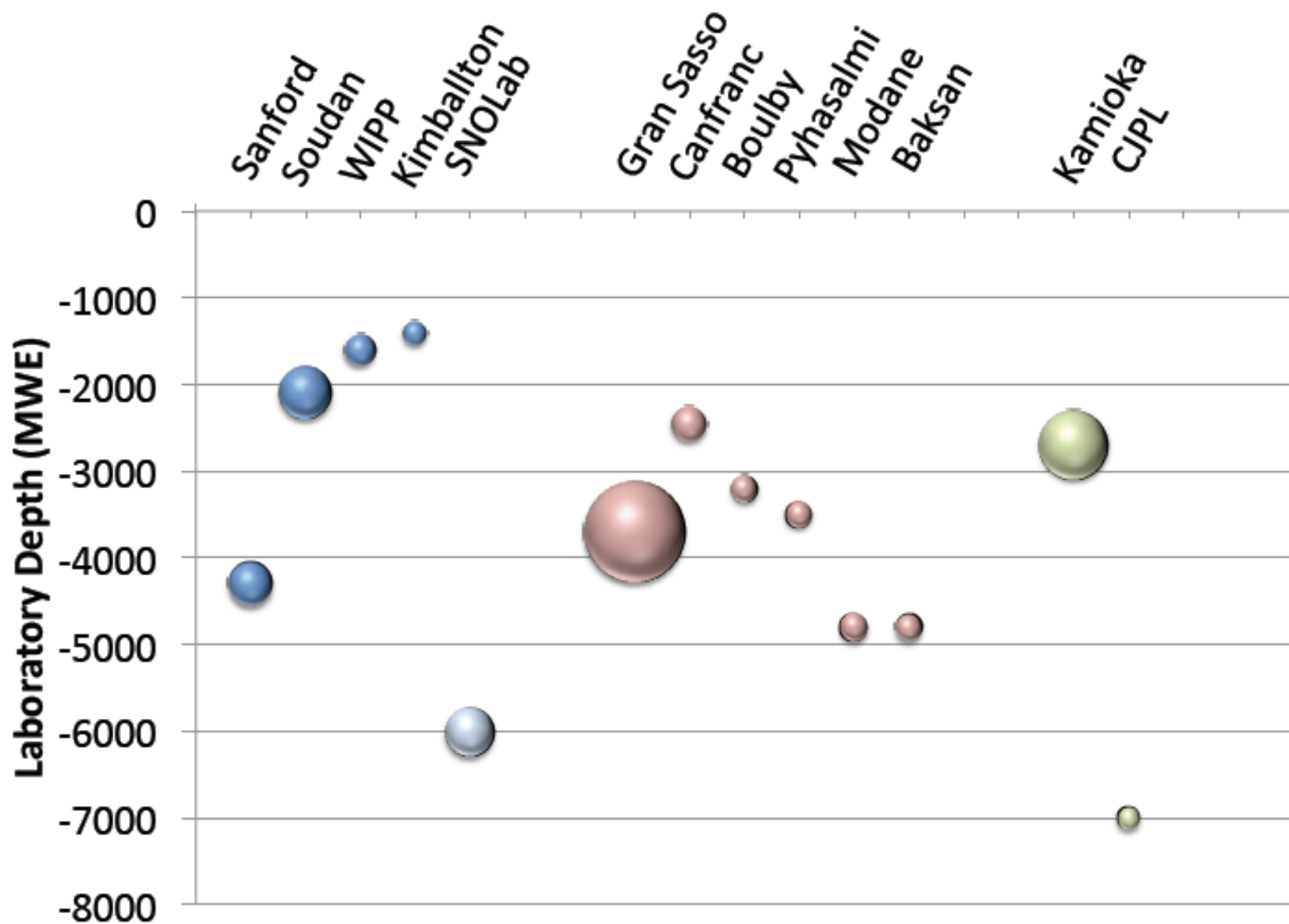
We will use SURF, WIPP, Soudan, Kimballton, SNOLAB, LNGS, Kamioka and we will do the science.

*"...it was like stepping from a busy market into the quiet of a cathedral..."*

*Michael Moe*

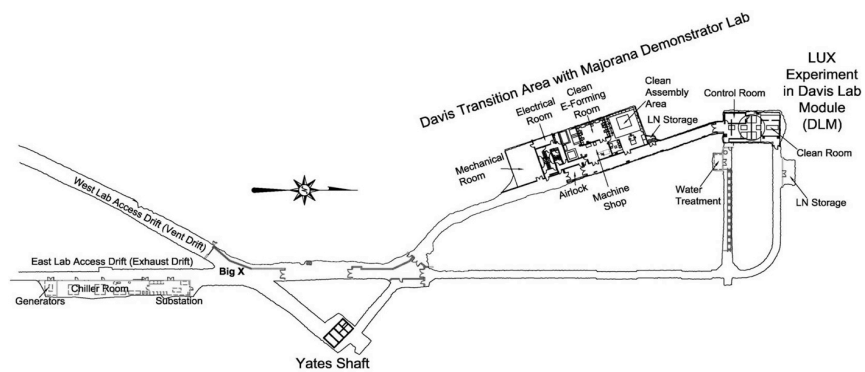
K. Lesko

## Comparison of Laboratory Sizes





# Davis Campus at the 4850 level



# Major objectives in Neutrino Physics

## Known Unknowns

- $\theta_{13}$
- Hierarchy
- Mass
- CP violation
- Majorana or Dirac
- Relic neutrinos

## Unknown Unknowns

- ~~OPERA~~
- $N_\nu \sim 4$  from cosmology
- LSND, MiniBooNE
- Reactor anomaly
- Ga source anomaly

(DOE Nuclear Physics plays a strong role)

## In search of the Hierarchy and $\delta_{CP}$

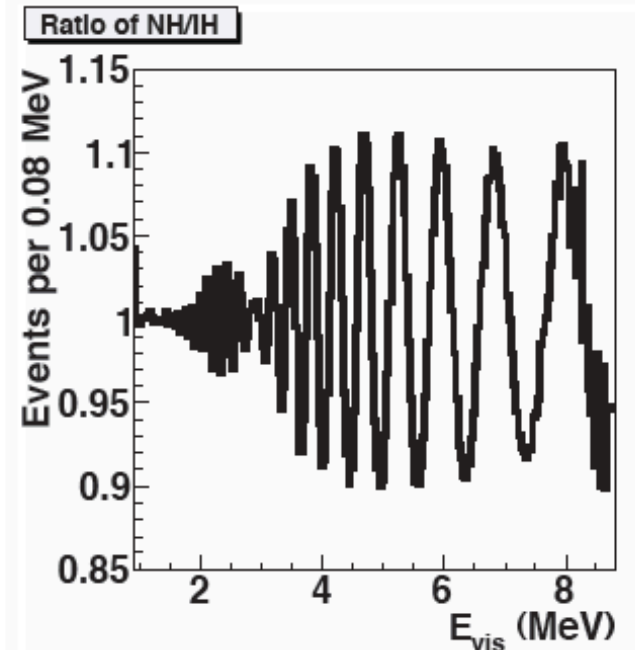
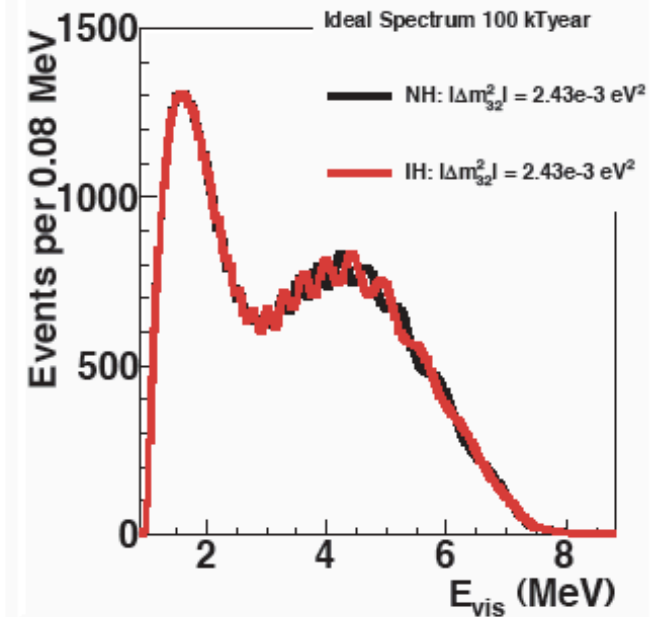
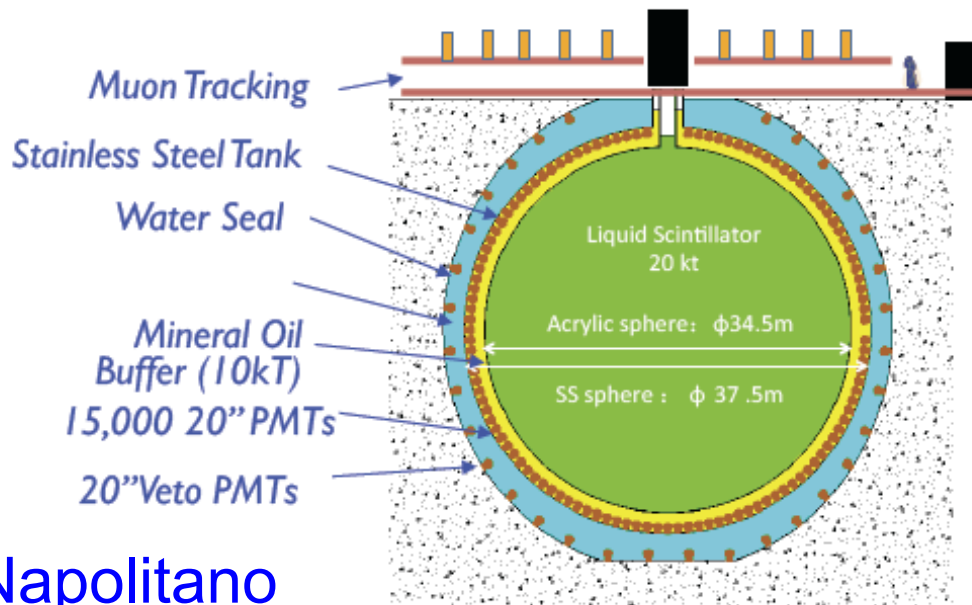
Experiment	$V_\mu \rightarrow V_e$ Per Year	Background
T2K <sup>(a)</sup> <i>(Running)</i>	$\approx 32$	$\approx 12$
NOvA <sup>(b)</sup> <i>(Construction)</i>	$\approx 23$	$\approx 11$
LBNE <sup>(c)</sup> <i>(Proposed)</i>	$\approx 40$	$\approx 6$

(a)  $12 \times 10^{20}$  protons-on-target in one year

(b)  $6 \times 10^{20}$  (c)  $6.5 \times 10^{20}$  but  $\times 3$  larger w/ “Project X”



# In search of the Hierarchy



J. Napolitano

# The big questions

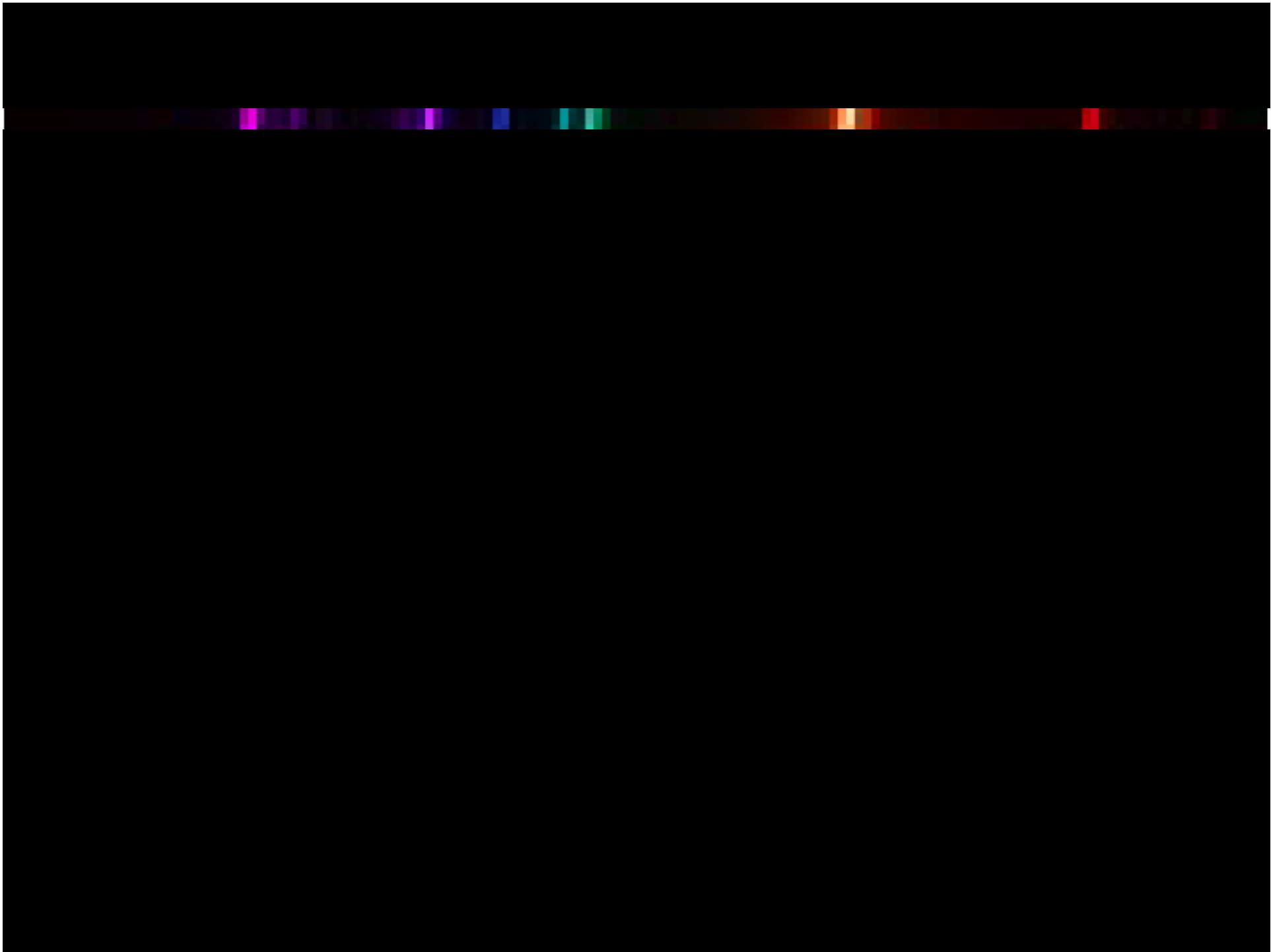
Compelling and unique science to be done in the next 5 years

- KATRIN mass measurement
- $0\nu\beta\beta$  searches: Ge, Te, Xe, Nd, ...
- SNO+, Borexino: CNO, luminosity constraint tested

Vision for 2020 (2030 in neutrino units)

- Direct mass measurement to  $\pm 20$  meV
- Neutrinos are Majorana [or Dirac]
- Hierarchy is Normal [or Inverted]
- CP violation is found in neutrino sector [or limited]
- Sterile neutrinos are found [or limited]
- Solar luminosity ratio (neutrinos/photons) measured to 1%

*A very exciting future!*



# Large-scale experiments

**Table 4.** Details of the most advanced experiments. Given are life-time sensitivity and the expected limit on  $\langle m_{ee} \rangle$ , using the NME compilation from figure 5.

Experiment	Isotope	Mass [kg]	Sensitivity $T_{1/2}^{0\nu}$ [yrs]	Status	Start of data-taking	Sensitivity $\langle m_\nu \rangle$ [eV]
GERDA	$^{76}\text{Ge}$	18	$3 \times 10^{25}$	running	$\sim 2011$	0.17-0.42
		40	$2 \times 10^{26}$	in progress	$\sim 2012$	0.06-0.16
		1000	$6 \times 10^{27}$	R&D	$\sim 2015$	0.012-0.030
CUORE	$^{130}\text{Te}$	200	$6.5 \times 10^{26*}$	in progress	$\sim 2013$	0.018-0.037
			$2.1 \times 10^{26**}$			0.03-0.066
MAJORANA	$^{76}\text{Ge}$	30-60	$(1 - 2) \times 10^{26}$	in progress	$\sim 2013$	0.06-0.16
		1000	$6 \times 10^{27}$	R&D	$\sim 2015$	0.012-0.030
EXO	$^{136}\text{Xe}$	200	$6.4 \times 10^{25}$	running	$\sim 2011$	0.073-0.18
		1000	$8 \times 10^{26}$	R&D	$\sim 2015$	0.02-0.05
SuperNEMO	$^{82}\text{Se}$	100-200	$(1 - 2) \times 10^{26}$	R&D	$\sim 2013-15$	0.04-0.096
KamLAND-Zen	$^{136}\text{Xe}$	400	$4 \times 10^{26}$	running	$\sim 2011$	0.03-0.07
		1000	$10^{27}$	R&D	$\sim 2013-15$	0.02-0.046
SNO+	$^{150}\text{Nd}$	56	$4.5 \times 10^{24}$	in progress	$\sim 2012$	0.15-0.32
		500	$3 \times 10^{25}$	R&D	$\sim 2015$	0.06-0.12

# International Context

KamLAND, SK, T2K in Japan

Daya Bay in China

SNO+, NeXT ? in Canada

KATRIN in Germany

CUORE in Italy

Majorana/GERDA will join forces for 1-T Ge. Is SURF 4850 deep enough, or will this go to Canada or China?

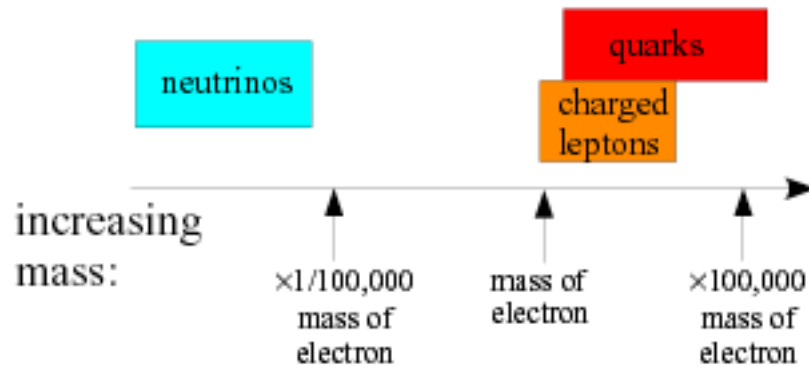
EXO-200, MINOS, MiniBooNE in US

nEXO probably in Canada

IceCube at the South Pole under US Administration

The cost and difficulty involved at the neutrino frontier makes international collaboration all but essential. But this should not exclude the possibility of doing *some* experiments in the US.

mass ranges of the matter particles

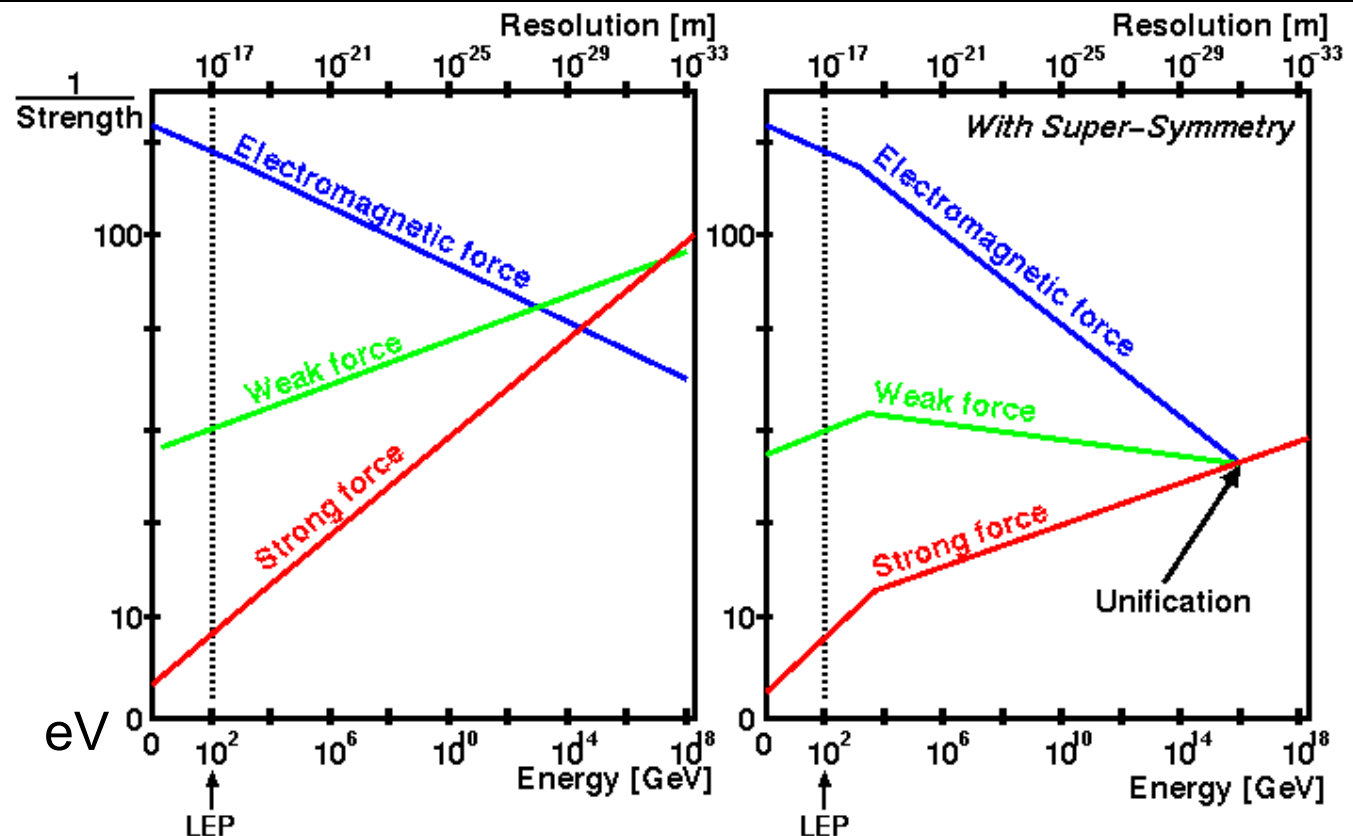


**Why is neutrino mass so small?**

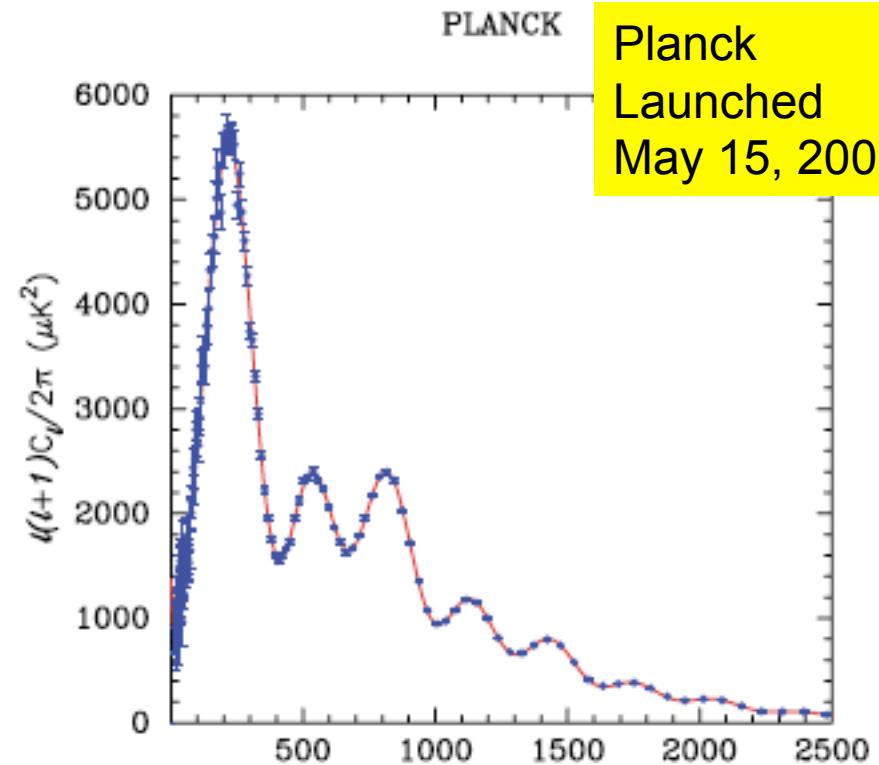
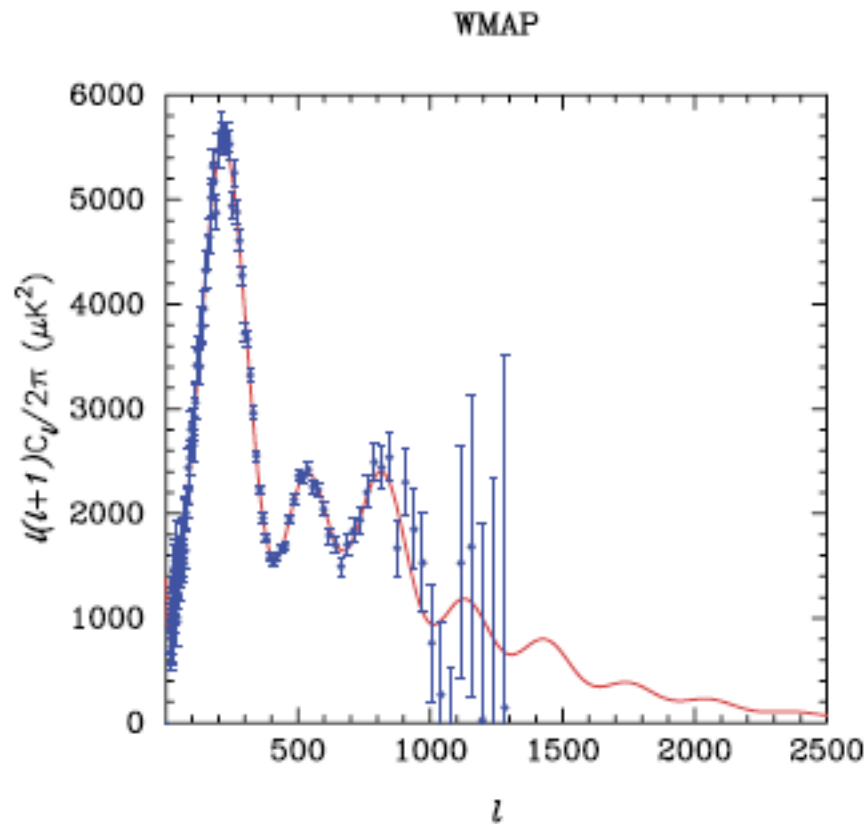
Neutrino mass is not a feature of the SM

A signal of unification? See-saw model:

$$m_\nu = \frac{m_D^2}{M}$$



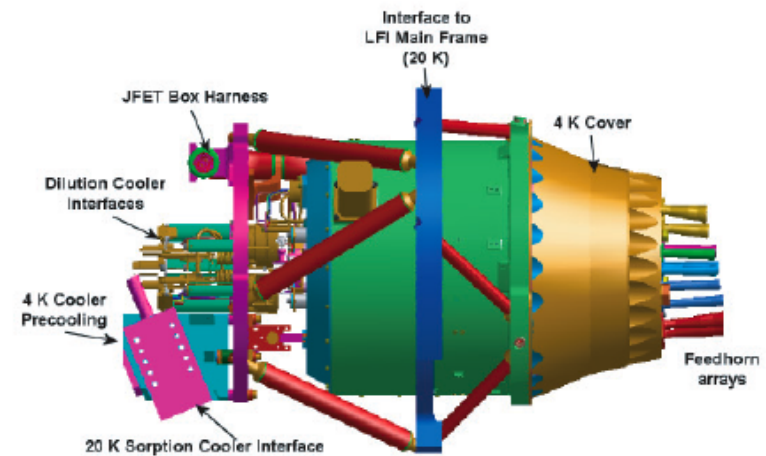
Planck  
Launched  
May 15, 2009



Present  $\Lambda$ CDM constraints on  $\Sigma m_\nu$ :  
 $\sim 0.6$  eV

Planck sensitivity:

- |                         |         |
|-------------------------|---------|
| 1. Planck only          | 0.26 eV |
| 2. Planck + SDSS        | 0.2 eV  |
| 3. CMBR + grav. lensing | 0.15 eV |





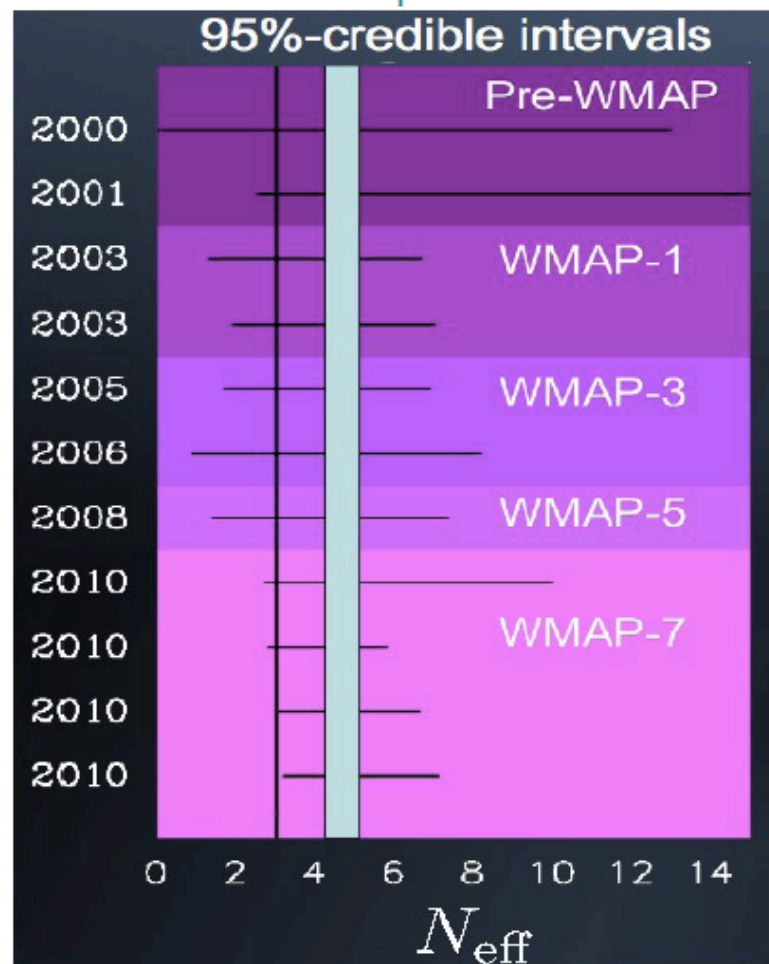
## Recent hint for $N_{\text{eff}} > 3$ from precision cosmology...

- Parameterise **excess relativistic energy density** in terms of **extra species of massless neutrinos**.

$$\rho_v + \rho_X = \underline{N_{\text{eff}}} \left( \frac{7}{8} \frac{\pi^2}{15} T_v^4 \right)$$
$$= (3.046 + \Delta N_{\text{eff}}) \left( \frac{7}{8} \frac{\pi^2}{15} T_v^4 \right)$$

- Evidence for  $N_{\text{eff}} > 3$ :**
  - @ 98.4% (CMB+LSS)  
Hou et al. 2011
  - @ 99.5% (CMB+LSS+BBN)  
Hamann et al. 2011

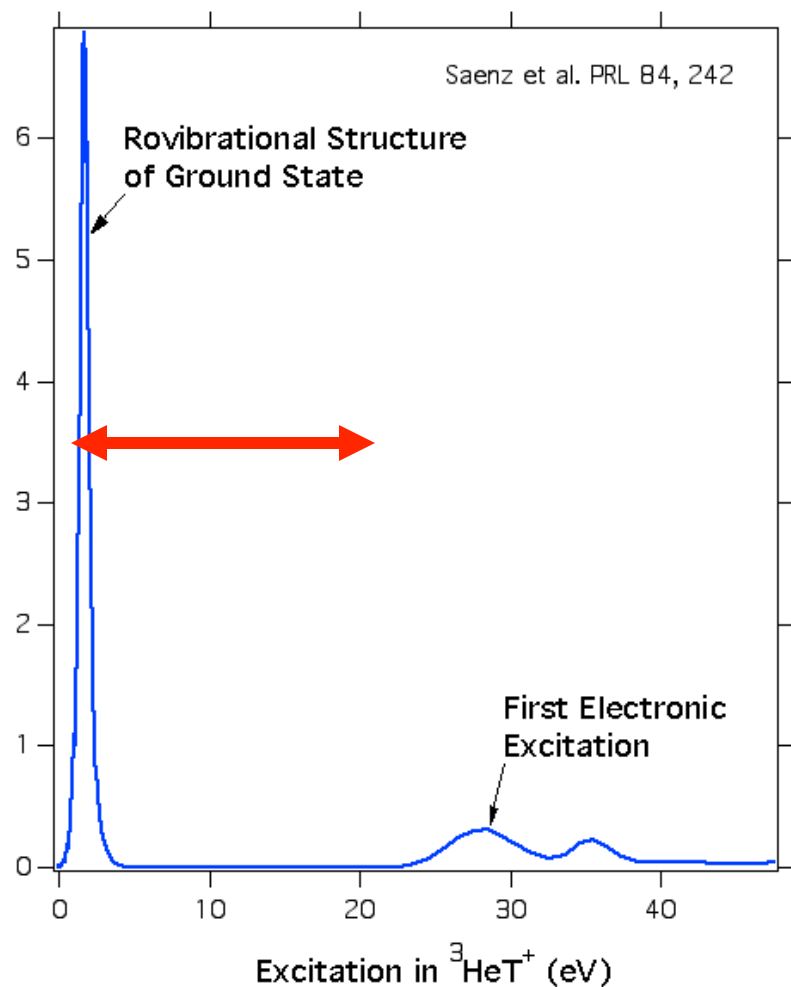
Adapted from S. Hannestad



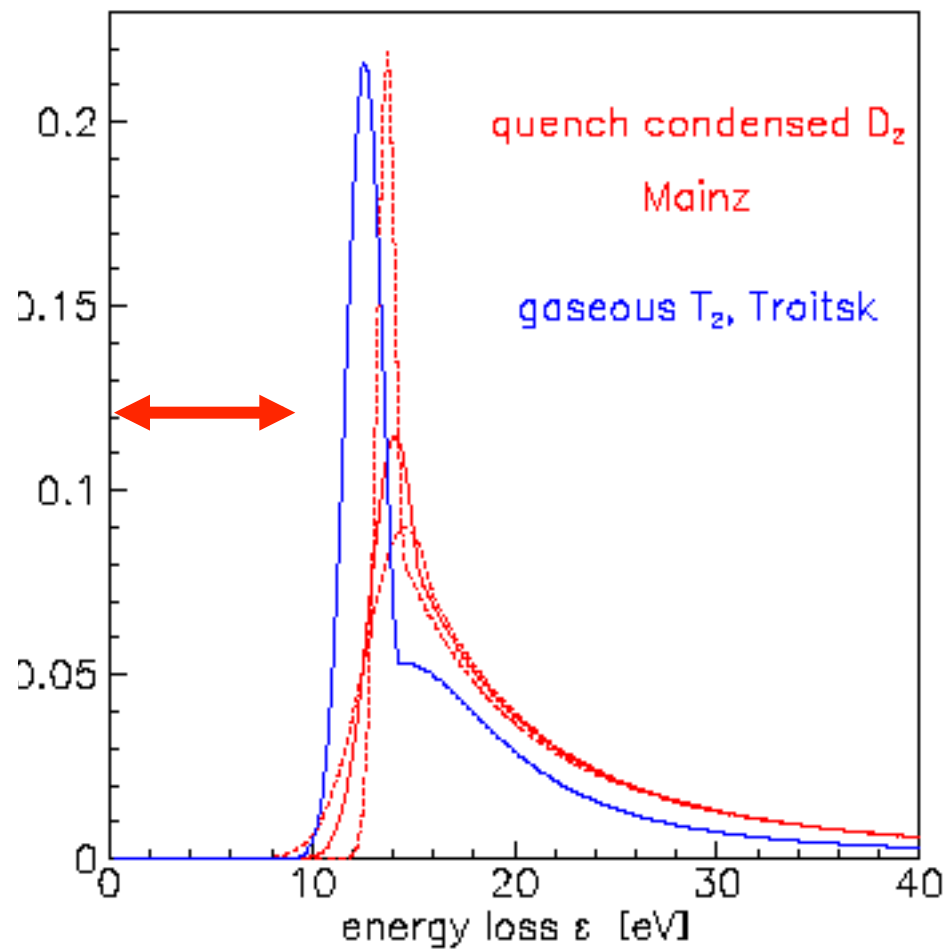


# A window to work in

## Molecular Excitations

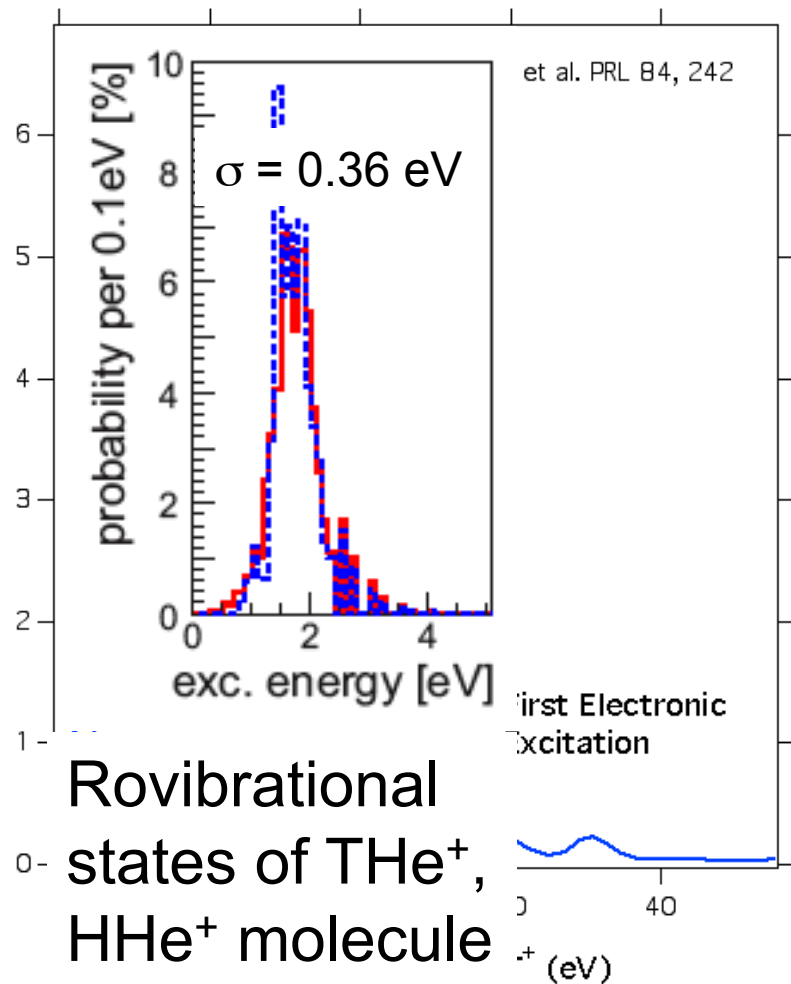


## Energy loss function

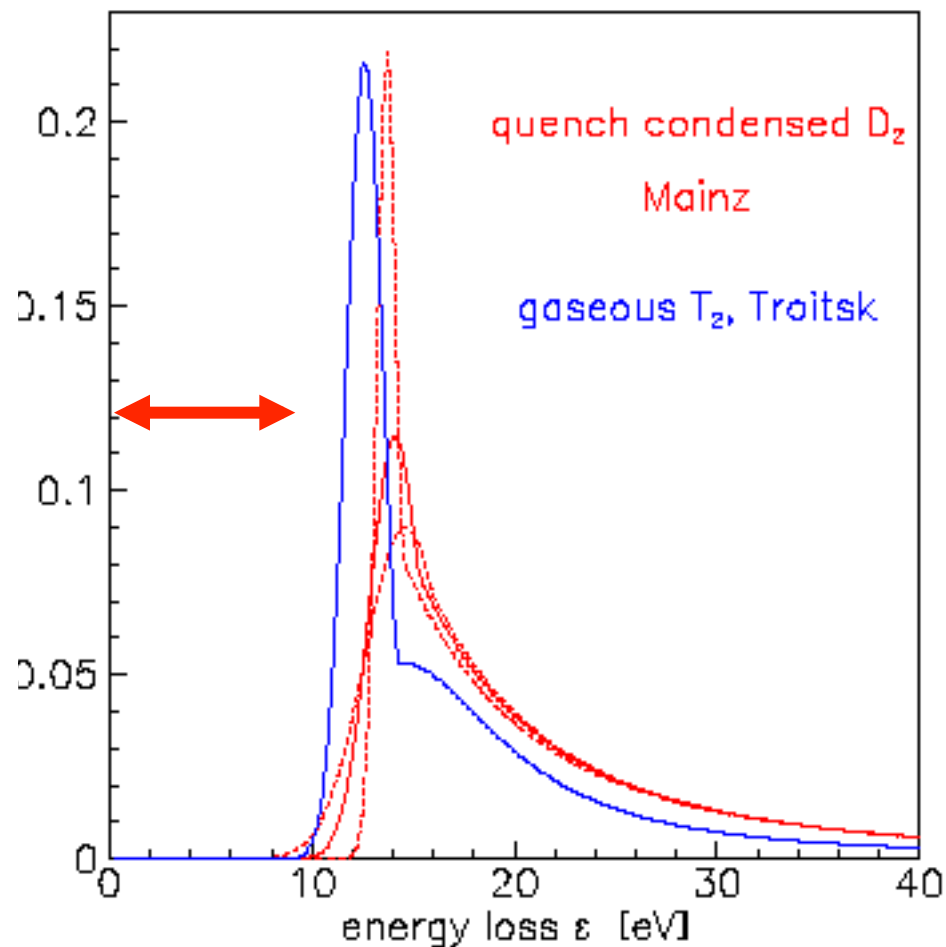


# A window to work in

## Molecular Excitations



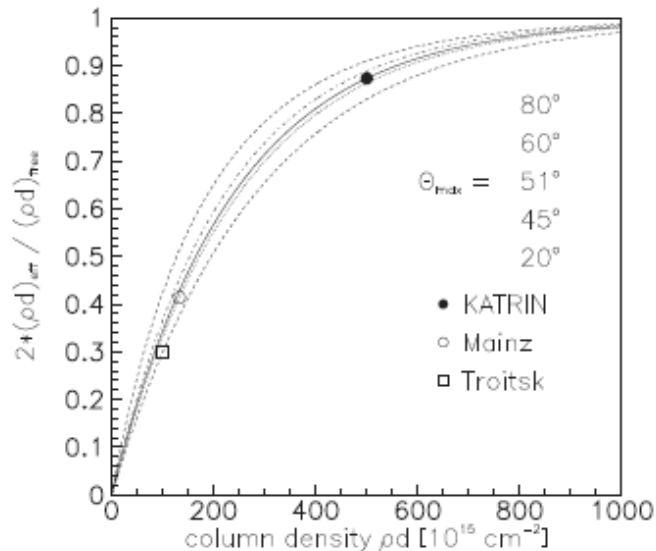
## Energy loss function



# The Last Order of Magnitude

If the mass is NOT in the 200-2000 meV window, but <200 meV, how can we measure it?

KATRIN may be the largest such experiment possible.



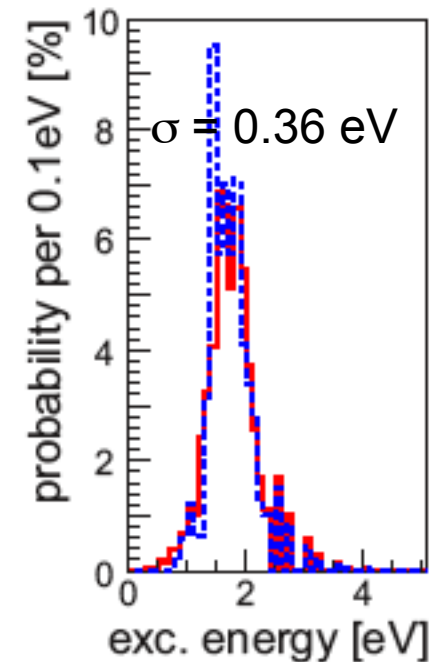
Source  $T_2$  column density near max



Size of experiment now:  
Diameter 10 m.

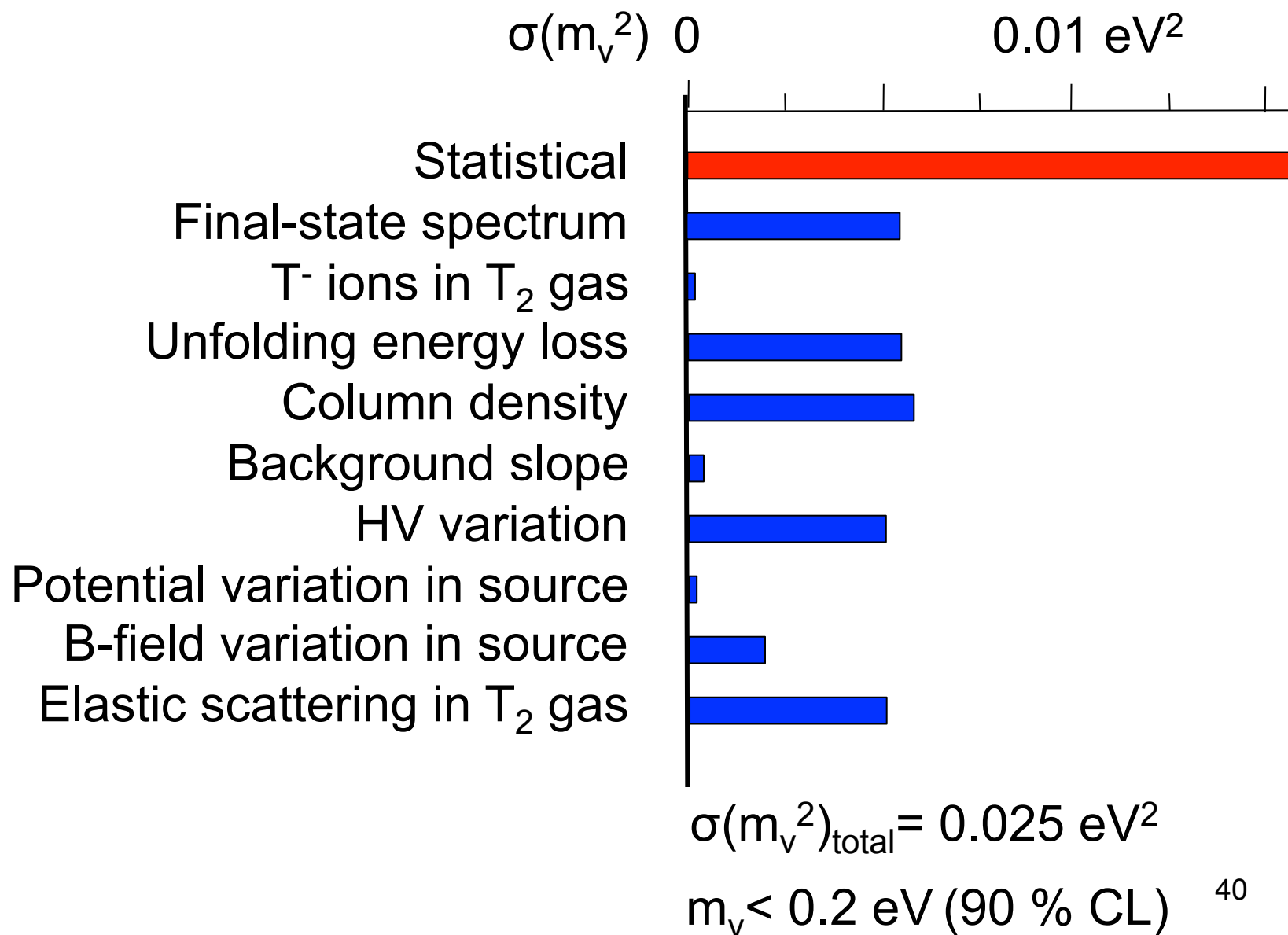
$$\sigma(m_\nu^2) = k \frac{b^{1/6}}{r^{2/3} t^{1/2}},$$

Next diameter: 300 m!



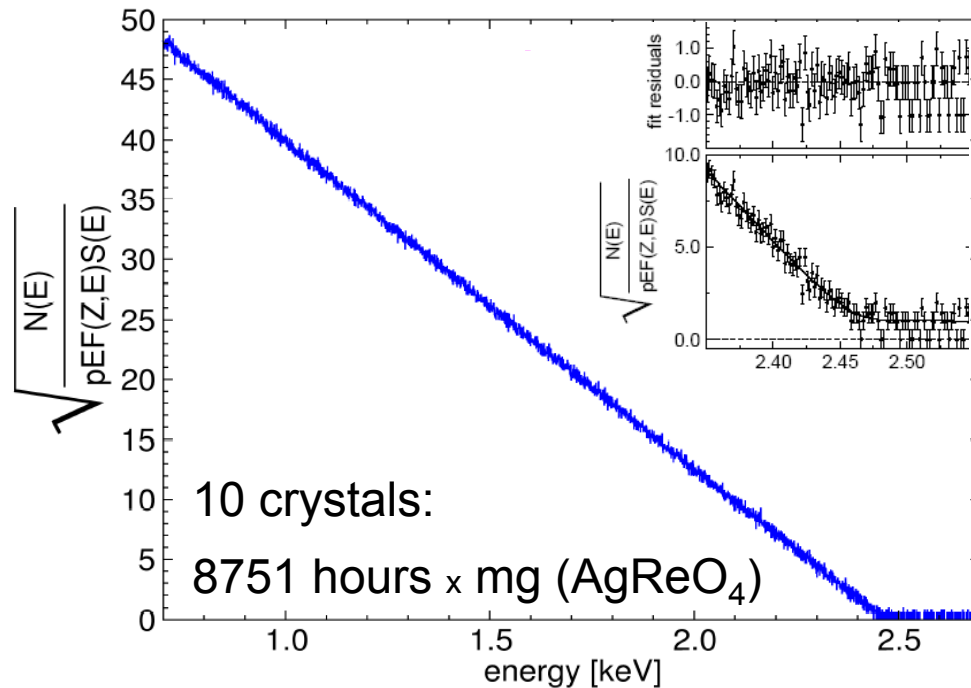
Rovibrational states of  $\text{THe}^+$ ,  $\text{HHe}^+$  molecule

# KATRIN's uncertainty budget



# Microcalorimeters for $^{187}\text{Re}$ $\beta$ -decay

**MIBETA:** Kurie plot of  $6.2 \times 10^6$   $^{187}\text{Re}$   $\beta$ -decay events ( $E > 700$  eV)



$$E_0 = (2465.3 \pm 0.5_{\text{stat}} \pm 1.6_{\text{syst}}) \text{ eV}$$

$$m_\nu^2 = (-112 \pm 207 \pm 90) \text{ eV}^2$$

MANU2 (Genoa)  
metallic Rhenium  
 $m(\nu) < 26$  eV

Nucl. Phys. B (Proc.Suppl.) 91 (2001) 293

MIBETA (Milano)  
 $\text{AgReO}_4$   
 $m(\nu) < 15$  eV

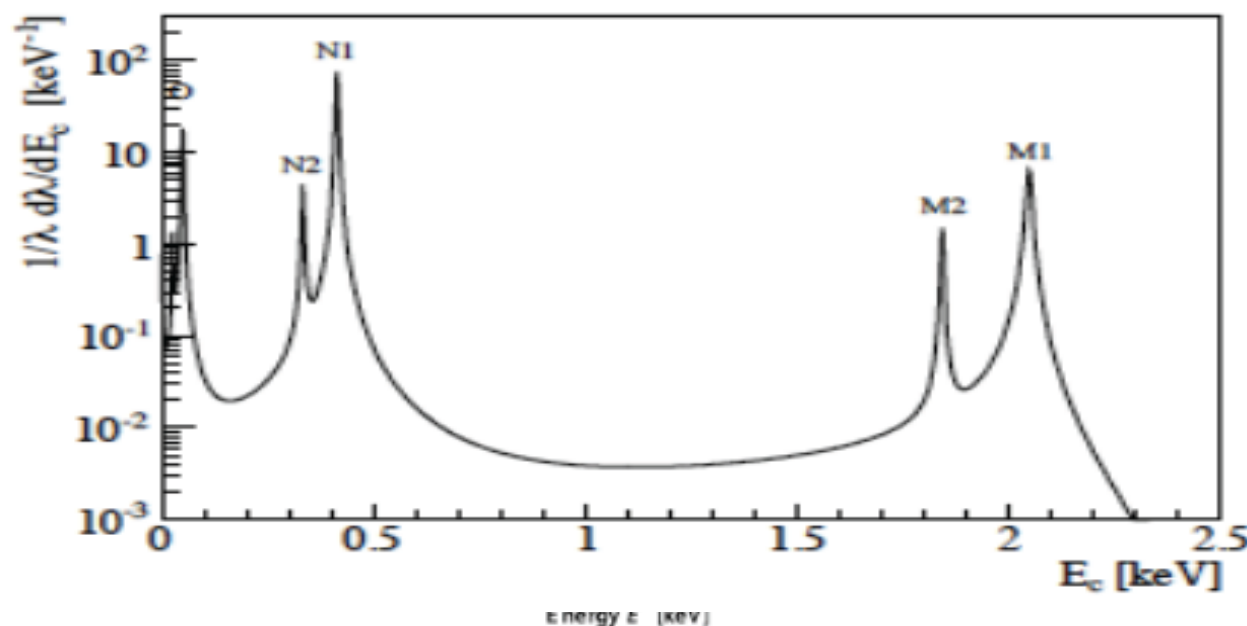
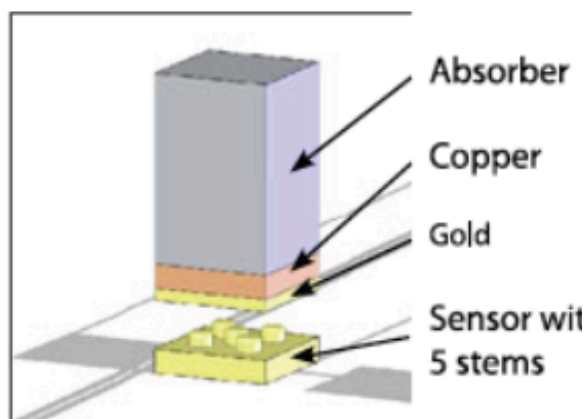
Nucl. Instr. Meth. 125 (2004) 125

MARE (Milano, Como,  
Genoa, Trento, US, D)  
Phase I :  $m(\nu) < 2.5$  eV

hep-ex/0509038

# Electron Capture Holmium Expt (ECHO)

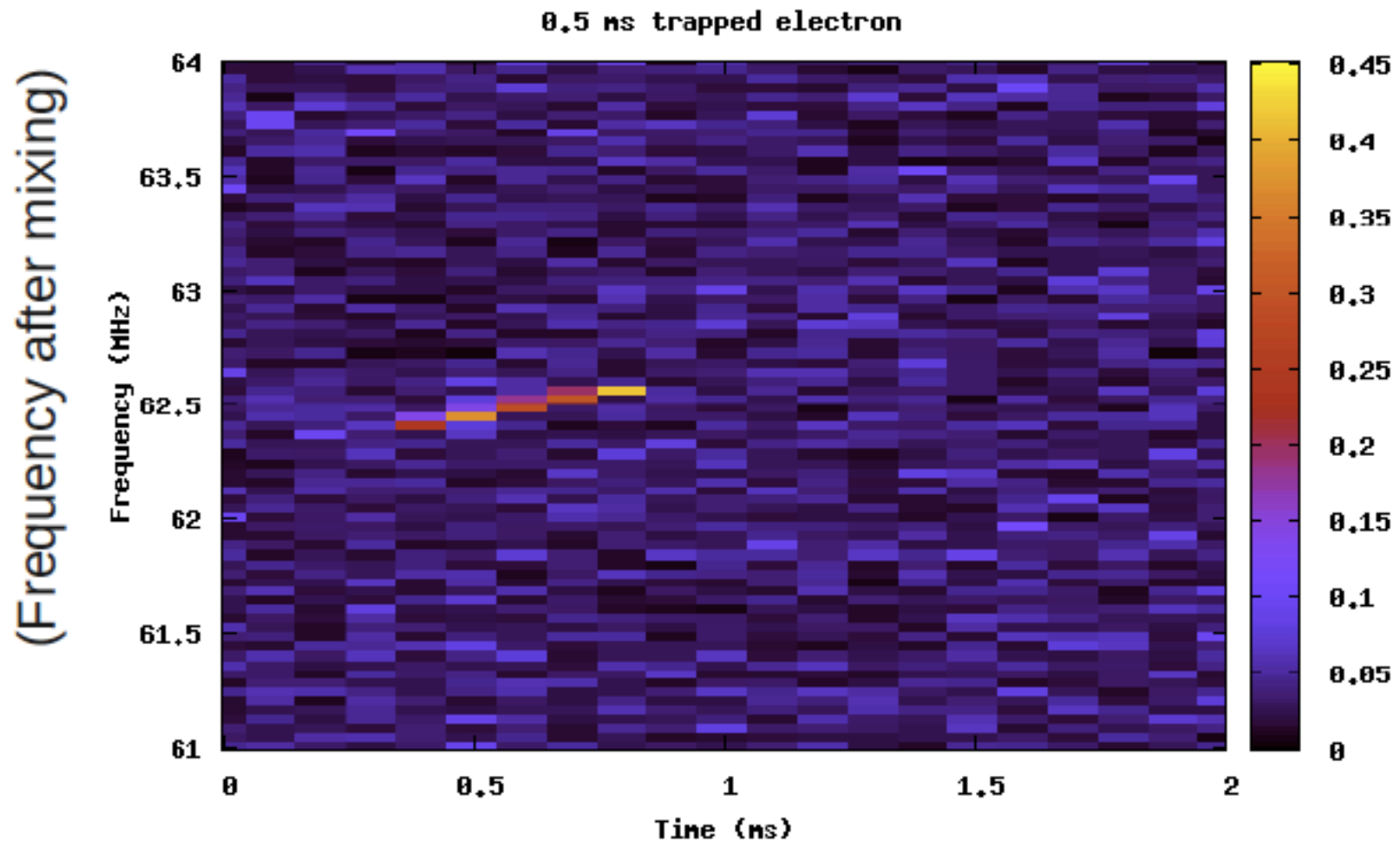
- Using low-temperature Metallic Magnetic Calorimeters to study both  $^{187}\text{Re}$  and  $^{163}\text{Ho}$ .
  - should be able to achieve ultimate resolution  $\sim 2$  eV and rise-times of 90 ns



- report  $Q_{EC} = 2.80 \pm 0.16$  keV
- shapes of N and M lines not entirely understood

**Signal is a rising “chirp” in frequency**

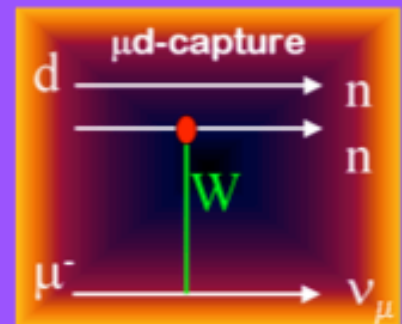
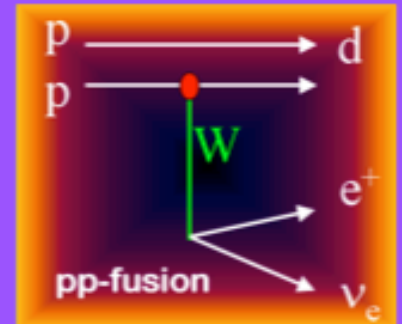
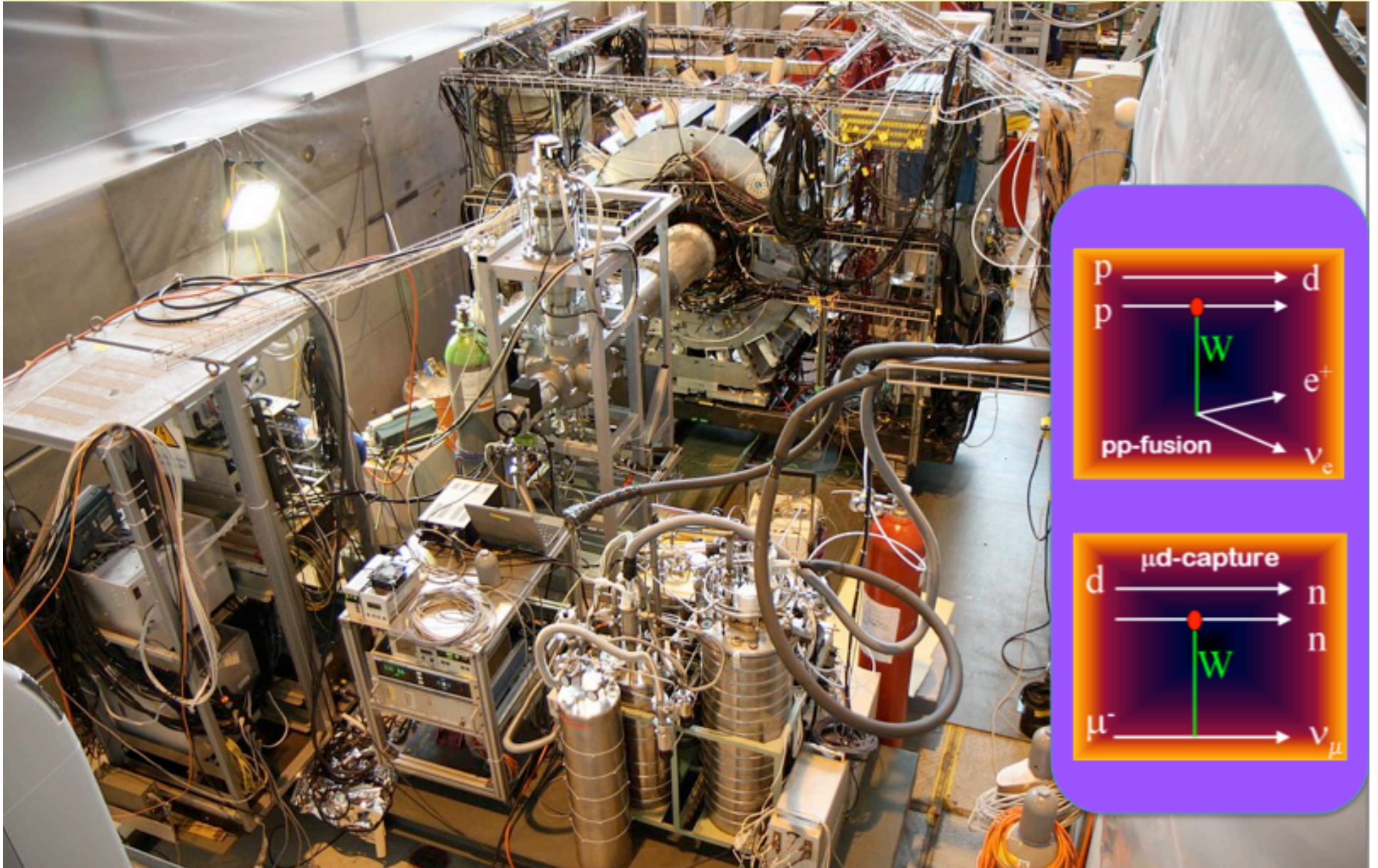
## Current detector simulation



simulation M. Leber



# Calibrating the Sun & SNO – MuSun at PSI



# The MNSP Mixing Matrix and oscillations

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$\nu_e = U_{e1}\nu_1 + U_{e2}\nu_2 + U_{e3}\nu_3$$

$$\lambda = \frac{h}{p} \quad p_i - p_j \approx (m_j^2 - m_i^2) \frac{L}{2E}$$

Depends on **mass-squared differences**  $\times$  **distance**, & the **sizes of the  $U_{ei}$**

Unitary matrix: 9 parameters not all independent.

3 trig angles enough to describe oscillations.  
There are also CP-violating phase(s).